

# 茨城大学重点研究

「地域に密着した世界的 ICT イノベーションの創出」

## 茨城大学工学部附属

ICT グローカル教育研究センター

2018年度

報告書

茨城大学重点研究プロジェクト「地域に密着した世界的 ICT イノベーションの創出」

平成 30 年度報告書刊行にあたって

プロジェクト代表 黒澤 馨

ICT グローカル教育研究センターは、平成 26 年 7 月に 5 つ目の工学部附属教育研究センターとして活動を開始しました。本センターは、「情報セキュリティ・インテリジェント分野」・「社会・環境インフラ分野」・「ビッグデータ活用分野」・「ソーシャルコミュニティ・弱者支援分野」の 4 分野で構成され、各分野における『地域に密着した世界的 ICT イノベーションの創出』を目指しています。

当センターの平成 30 年度の研究業績は、特許 5 件、学術誌論文 10 件、国際会議発表 50 件、招待講演 2 件、その他（研究会等）56 件、競争的資金獲得（科学研究費補助金）15 件でした。

今後も、地域密着型の世界的 ICT イノベーションを創出する研究開発の推進に戦略的に取り組みながら、*theory meets practice* を実現するため、グローバル（世界的規模）な視点とローカル（地域的）な視点をもって地域課題の解決に取り組んでいきます。

本冊子は、重点研究「地域に密着した世界的 ICT イノベーションの創出」における当センター構成員の平成 30 年度の成果を中心にまとめましたので、是非ご一読頂けましたら幸甚に存じます。

構成員一同、茨城大学重点研究として地域社会の更なる発展に貢献していく所存でございますので、今後も引き続き、当センターへのご理解とご支援を宜しくお願い申し上げます。

「地域に密着した世界的 ICT イノベーションの創出」

プロジェクト参加教員

(1) 情報セキュリティ・インテリジェント分野における研究開発

黒澤馨 (工学部情報工学科・教授)  
大瀧保広 (IT 基盤センター・准教授)  
藤芳明生 (工学部情報工学科・准教授)  
米山一樹 (工学部情報工学科・准教授)  
芝軒太郎 (工学部情報工学科・講師)

(2) 社会・環境インフラ分野における研究開発

上田賀一 (工学部情報工学科・教授)  
桑原祐史 (工学部都市システム工学科・教授)  
齋藤修 (工学部・特命教授)  
外岡秀行 (工学部情報工学科・教授)  
羽渕裕真 (工学部情報工学科・教授)  
山田稔 (工学部都市システム工学科・教授)  
原口春海 (工学部情報工学科・准教授)  
小澤佑介 (工学部情報工学科・助教)  
高橋竜一 (工学部情報工学科・助教)  
堀田大貴 (工学部情報工学科・助教)

(3) ビッグデータ活用分野における研究開発

新納浩幸 (工学部情報工学科・教授)  
笹井一人 (工学部情報工学科・准教授)  
岡田信一郎 (工学部情報工学科・講師)  
古宮嘉那子 (工学部情報工学科・講師)  
佐々木稔 (工学部情報工学科・講師)

(4) ソーシャルコミュニティ・弱者支援分野における研究開発

鎌田賢 (工学部情報工学科・教授)  
米倉達広 (工学部情報工学科・教授)  
野口宏 (IT 基盤センター・准教授)  
小花聖輝 (工学部共通講座・助教)

## —目次—

### 1. 活動概要

### 2. 研究報告【代表的な論文】

1. Wakaha Ogata, Kaoru Kurosawa: No-Dictionary Searchable Symmetric Encryption. IEICE Transactions 102-A(1): 114-124 (2019)
2. Yusuke Matsuda, Yusuke Kozawa and Yohtaro Umeda: "Experimental Evaluation of Hybrid PWM/DPAM Dimming Control Method for Digital Color Shift Keying Using RGB-LED Array", Journal of Signal Processing, Vol. 22, No. 4, pp. 165-168, July 2018.
3. Taro Shibasaki, Masaki Watanabe, Go Nakamura, Takaaki Chin and Toshio Tsuji, "A Training Method for the Speech Controlled Environmental Control System Based on Candidate Word Discriminations", Journal of Robotics, Networking and Artificial Life, Vol. 5, No. 2 (September 2018), pp. 135-138, 2018.

### 3. プロジェクト業績

## 1. 活動概要

## ICT グローカル教育研究センター 平成30年度活動概要

### 1. 研究開発・資金獲得計画

#### 1. 計画名:情報セキュリティ・インテリジェント分野における研究開発

##### (1)実施概要:

- クラウドにおける情報セキュリティに関する研究
- 暗号プロトコルの設計論と安全性証明に関する研究
- 読字障害児童向け音声付教科書の開発
- 共創型人間-機械インタフェースの提案と障害者支援

(2)実施予定時期:平成30年4月1日~平成31年3月31日

##### (3)実施体制

- ・ 責任者:黒澤馨
- ・ メンバ:大瀧保広, 藤芳明生, 米山一樹, 芝軒太郎

(4)資金獲得計画:科研費等の各種外部資金獲得を目指す

(5)実施における課題:特になし

#### 2. 計画名:社会・環境インフラ分野における研究開発

##### (1)実施概要:

- ITSのための高信頼化通信の研究
- 組込みシステムの協調解析と品質計測手法の開発
- 衛星リモートセンシングに関する研究
- 高齢者を支援するインタラクションシステムの研究
- 総合防災管理支援システムの開発

(2)実施予定時期:平成30年4月1日~平成31年3月31日

##### (3)実施体制

- ・ 責任者:上田賀一
- ・ メンバ:桑原祐史, 齋藤修, 外岡秀行, 羽瀧裕真, 山田稔, 原口春海, 小澤佑介, 高橋竜一, 堀田大貴

(4)資金獲得計画:科研費等の各種外部資金獲得を目指す

(5)実施における課題:特になし

#### 3. 計画名:ビッグデータ活用分野における研究開発

##### (1)実施概要:

- 機械学習や統計学を利用した自然言語処理
- データベース学習のための支援システムの開発
- 様々なデータからの特徴抽出、分類、検索に関する研究
- 機械学習を用いた知識処理の研究

(2)実施予定時期:平成30年4月1日~平成31年3月31日

##### (3)実施体制

- ・ 責任者:新納浩幸
- ・ メンバ:岡田信一郎, 古宮嘉那子, 佐々木稔

(4)資金獲得計画:科研費等の各種外部資金獲得を目指す

(5)実施における課題:特になし

#### 4. 計画名:ソーシャルコミュニティ・弱者支援分野における研究開発

##### (1)実施概要:

- 画像の自然な拡大・縮小・変形のための関数の開発
- 地域情報化の研究
- 階層型データモデル機能・データベースデータモデル機能に関する研究

(2)実施予定時期:平成30年4月1日~平成31年3月31日

##### (3)実施体制

- ・ 責任者:鎌田賢
- ・ メンバ:米倉達広, 野口宏, 小花聖輝

(4)資金獲得計画:科研費等の各種外部資金獲得を目指す

(5)実施における課題:特になし

5. 計画名:各種論文誌・国際会議等での研究発表

(1)実施概要:各種論文誌・国際会議等において研究発表を行う

(2)実施予定時期:平成30年4月1日～平成31年3月31日

(3)実施体制

- ・ 責任者:黒澤馨
- ・ メンバ:上田賀一, 鎌田賢, 桑原祐史, 齋藤修, 新納浩幸, 外岡秀行, 羽瀨裕真, 山田稔, 米倉達広, 大瀧保広, 藤芳明生, 米山一樹, 原口春海, 岡田信一郎, 古宮嘉那子, 佐々木稔, 芝軒太郎, 野口宏, 小澤佑介, 小花聖輝, 高橋竜一, 堀田大貴

(4)資金獲得計画:科研費等の各種外部資金獲得を目指す

(5)実施における課題:特になし

6. 計画名:各種学会・国際会議等での委員

(1)実施概要:各種学会・国際会議等での委員として活動する

(2)実施予定時期:平成30年4月1日～平成31年3月31日

(3)実施体制

- ・ 責任者:黒澤馨
- ・ メンバ:上田賀一, 鎌田賢, 桑原祐史, 齋藤修, 新納浩幸, 外岡秀行, 羽瀨裕真, 山田稔, 米倉達広, 大瀧保広, 藤芳明生, 米山一樹, 原口春海, 岡田信一郎, 古宮嘉那子, 佐々木稔, 芝軒太郎, 野口宏, 小澤佑介, 小花聖輝, 高橋竜一, 堀田大貴

(4)資金獲得計画:特になし

(5)実施における課題:特になし

7. 計画名:当教育研究センター構成メンバによる勉強会

(1)実施概要:当教育研究センター構成メンバによる勉強会を実施する

(2)実施予定時期:平成30年4月1日～平成31年3月31日

(3)実施体制

- ・ 責任者:黒澤馨
- ・ メンバ:上田賀一, 鎌田賢, 桑原祐史, 齋藤修, 新納浩幸, 外岡秀行, 羽瀨裕真, 山田稔, 米倉達広, 大瀧保広, 藤芳明生, 米山一樹, 原口春海, 岡田信一郎, 古宮嘉那子, 佐々木稔, 芝軒太郎, 野口宏, 小澤佑介, 小花聖輝, 高橋竜一, 堀田大貴

(4)資金獲得計画:特になし

(5)実施における課題:特になし

○実施結果(中間報告時と年度末に、実施結果を記載してください。)

1. 計画名:情報セキュリティ・インテリジェント分野における研究開発

(1)実施結果:

- クラウドにおける情報セキュリティに関する研究  
:アクセス情報も秘匿するキーワード検索可能暗号を研究開発
- 暗号プロトコルの設計論と安全性証明に関する研究  
:エンドツーエンド暗号化通信の数理的安全性モデルを研究開発
- 読字障害児童向け音声付教科書の開発  
:文字認知が困難な児童生徒の能動的読書を可能にするマルチモーダル教科書等を研究開発
- 共創型人間-機械インタフェースの提案と障害者支援  
:双腕協調タスクモデルに基づく5指駆動型筋電電動義手の提案と義手処方支援を研究開発

2. 計画名:社会・環境インフラ分野における研究開発

(1)実施結果:

- ITSのための高信頼化通信の研究  
:疑似雑音符号系列による知的照明光通信ネットワークを研究開発

- 組み込みシステムの協調解析と品質計測手法の開発  
: 社会インフラシステム向けソフトウェアプラットフォーム等を研究開発
- 衛星リモートセンシングに関する研究  
: 衛星データの評価検証技術, 高付加価値を持つ衛星データの生成技術, 衛星データの新たな利用技術等を研究開発
- 衛星リモートセンシングデータを用いた地域環境変遷の情報化に関する研究  
: 生活環境圏における CO2 濃度の地域性に着目した新たな緑地評価指標を研究開発
- 総合防災管理支援システムの開発  
: 大規模自然災害時の円滑な情報共有に資する市町村型共通基盤等を研究開発
- デジタル変復調や光無線通信システム等の開発  
: 海中可視光ワイヤレス給電通信のための高電力効率変調法を研究開発

3. 計画名: ビッグデータ活用分野における研究開発

(1) 実施結果:

- 機械学習や統計学を利用した自然言語処理  
: 外れ値検出手法からの重み設定による共変量シフト下における語義曖昧性解消の領域適応等を研究開発
- データベース学習のための支援システムの開発  
: SQL 実習支援システム、リレーショナルデータモデル演習システムによる実際の授業での運用
- 様々なデータからの特徴抽出、分類、検索に関する研究  
: 局所的な周辺文脈を利用した日本語の教師なし All-words 型語義曖昧性解消等を研究開発
- 機械学習を用いた知識処理の研究  
: 状況やデータの性質を意識して、大量なデータから、知識のパターンやルールを取り出して活用する方法等を研究開発

4. 計画名: ソーシャルコミュニティ・弱者支援分野における研究開発

(1) 実施結果:

- 画像の自然な拡大・縮小・変形のための関数の開発  
: 可変張力つき 2 変数スプラインの導出とその画像補間等を研究開発
- 地域情報化の研究  
: メディアを利用した地域の ICT 化推進と地域の情報発信等を研究開発
- 階層型データモデル機能・データベースデータモデル機能に関する研究  
: 分散キャンパスを用いたファイルバックアップシステム等を研究開発
- ウェブシステムや並列・分散処理等に関する研究  
: 次世代コンピュータシステム等を研究開発

5. 計画名: 各種論文誌・国際会議等での研究発表

(1) 実施結果:

- 特許: 5 件
- 学術誌論文: 10 件
- 国際会議論文: 50 件
- 招待講演: 2 件
- その他(研究会等): 56 件

6. 計画名: 各種学会・国際会議等での委員

7.

(1) 実施結果:

教員名	内容等



黒澤馨教授	ACNS 2018 プログラム委員
黒澤馨教授	Asiacrypt 2018 プログラム委員
黒澤馨教授	電子情報通信学会 安全・安心な生活とICT研究専門委員会 専門委員
黒澤馨教授	IET Information Security, Associate Editor
黒澤馨教授	International Journal of Applied Cryptography, Associate Editor
黒澤馨教授	Journal of Mathematical Cryptology, Associate Editor
上田賀一教授	日本ソフトウェア科学会 FOSE2018 プログラム委員
鎌田賢教授	Associate editor, IEEE Transactions on Industrial Electronics
鎌田賢教授	Secretary of the journal, Sampling Theory in Signal and Image Processing
鎌田賢教授	Program committee, The 6th International Workshop on Web Services and Social Media
桑原祐史教授	土木学会 地球環境委員会 委員
桑原祐史教授	土木学会 地球環境委員会 地球環境研究論文集編集小委員会 委員
桑原祐史教授	日本リモートセンシング学会 対外協力委員会 委員
桑原祐史教授	日本リモートセンシング学会 対外協力委員会 JpGU 小委員会 委員長
桑原祐史教授	日本リモートセンシング学会 国土防災リモートセンシング研究会 会長
桑原祐史教授	日本沿岸域学会 論文編集委員会 委員
桑原祐史教授	土木学会 茨城会 幹事
桑原祐史教授	NPO 法人 CO <sub>2</sub> 濃度マップ普及協会 理事
外岡秀行教授	(国研)産業技術総合研究所 客員研究員
外岡秀行教授	(一社)日本リモートセンシング学会 評議員
外岡秀行教授	(一社)日本リモートセンシング学会 事務局情報管理担当
外岡秀行教授	(一財)宇宙システム開発利用推進機構 ISS 搭載型ハイパースペクトルセンサ等研究開発技術委員会委員
羽瀧裕真教授	電子情報通信学会ワイドバンドシステム(WBS)研究専門委員会 顧問
羽瀧裕真教授	電子情報通信学会ITS研究専門委員会 顧問
羽瀧裕真教授	電子情報通信学会東京支部 次期支部長
羽瀧裕真教授	IEEE Japan Chapter Treasure
羽瀧裕真教授	IEEE Tokyo Section Treasure
羽瀧裕真教授	IEEE ITS Tokyo Chapter 委員
羽瀧裕真教授	IEEE VTS Tokyo Chapter 委員
羽瀧裕真教授	Associate Editor, IEICE Transaction in Fundamentals, Special section on WideBand Systems
羽瀧裕真教授	Associate Editor, IEICE Transaction in Fundamentals, Special section on Intelligent Transport Systems
羽瀧裕真教授	Associate Editor, IEICE Transaction in Fundamentals, Special section on Signal Design and its Applications in Communications
羽瀧裕真教授	Technical Program Committee Member, IEEE Asia Pacific Wireless Communications Symposium (IEEE VCS APWCS2018)
羽瀧裕真教授	Technical Program Committee Member, International Conference on ITS Telecommunications (ITST 2018)
羽瀧裕真教授	Technical Program Committee Member, IEEE GLOBECOM Workshop on Optical Wireless Communications
羽瀧裕真教授	Technical Program Committee Member, 10th International Conference on Knowledge and Systems Engineering (KSE 2018)
羽瀧裕真教授	Technical Program Committee Member, 2nd International Conference on Electrical Engineering and Informatics (ICon EEI 2018)
羽瀧裕真教授	Technical Program Committee Member, IEEE International Conference on Communication, Networks and Satellite (COMNETSAT 2018)

教員名	内容等
羽瀧裕真教授	Technical Program Committee Member, International Conference on Advances in Computing, Communications and Informatics (ICACCI 2018)
羽瀧裕真教授	Technical Program Committee Member, International Conference on Control, Electronics, Renewable Energy and Communications (ICCEREC 2018)
羽瀧裕真教授	Technical Program Committee Member, International Conference on Advanced Technologies for Communications (ATC'18)
羽瀧裕真教授	Technical Program Committee Member, The 3rd International Conference on Recent Advances in Signal Processing, Telecommunications & Computing (SigTelCom2019)
羽瀧裕真教授	Technical Program Committee Member, IEEE ICC'19 - SPC Symposium
羽瀧裕真教授	地上テレビジョン放送の高度化技術に関する研究開発運営委員会(日本放送協会 放送技術研究所)
大瀧保広准教授	電子情報通信学会 情報セキュリティ研究専門委員会 委員
藤芳明生准教授	電子情報通信学会 英文論文誌 D 編集委員
米山一樹准教授	日本応用数学会 数理的技法による情報セキュリティ(FAIS)研究部会 幹事
米山一樹准教授	日本応用数学会 日本応用数学会論文誌 編集委員
米山一樹准教授	電子情報通信学会 2019 年英文論文誌小特集編集委員会 編集幹事
米山一樹准教授	電子情報通信学会 2020 年英文論文誌小特集編集委員会 編集幹事
米山一樹准教授	Indocrypt2018 プログラム委員
米山一樹准教授	ACM ASIA Public-Key Cryptography Workshop 2018 プログラム委員
米山一樹准教授	ACM ASIA Public-Key Cryptography Workshop 2019 プログラム委員
岡田信一郎講師	電子情報通信学会 東京支部運営委員 支部委員
古宮嘉那子講師	ICT-IPSC 2018 プログラム委員
古宮嘉那子講師	電子情報通信学会 言語理解とコミュニケーション研究会 (NLC) 運営委員
古宮嘉那子講師	JCSSE 2018 プログラム委員
芝軒太郎講師	計測自動制御学会システムインテグレーション(SI)部門ロボティクス部会委員
芝軒太郎講師	日本ロボット学会会誌編集委員
野口宏准教授	学術情報処理研究編集委員
野口宏准教授	水戸市個人情報保護運営委員 審議会

教員名	内容等
小澤佑介助教	TPC member for 8th IEEE Globecom Workshop on Optical Wireless Communications (OWC'18)
小澤佑介助教	Secretary for 8th IEEE Globecom workshop on Optical Wireless Communications (OWC18)
小澤佑介助教	日本フォトニクス協議会可視光通信分科会 幹事
小澤佑介助教	電子情報通信学会ワイドバンドシステム(WBS)研究専門委員会 幹事
小澤佑介助教	IEICE Guest Editor for the IEICE transactions on fundamentals special section on Wideband Systems
小澤佑介助教	Publication chair for RISP International Workshop on Nonlinear Circuits, Communications and Signal Processing 2019 (NCSP2019)
小花聖輝助教	WSSM-2018 Workshop Co-chair
小花聖輝助教	電子情報通信学会 サイバーワールド時限研究専門委員会 幹事
新納浩幸教授	自然言語処理学会理事
新納浩幸教授	言語処理学会第 25 回年次大会 大会副委員長
佐々木稔講師	情報処理学会論文誌データベース編集委員

佐々木稔講師	情報処理学会自然言語処理研究会運営委員
佐々木稔講師	国際会議 SEMAPRO 2018 Technical Program Committee
佐々木稔講師	International Journal On Advances in Intelligent Systems, Editorial Board
佐々木稔講師	ひたちものづくりサロン代表幹事
佐々木稔講師	FM ひたち番組審議委員
高橋竜一助教	電子情報通信学会知能ソフトウェア工学研究専門委員会 幹事補佐

◇ 外部資金獲得結果(継続研究課題を含む)

・継続研究課題

種別	教員	研究課題
基盤 (C)	黒澤馨教授	アクセス情報も秘匿するキーワード検索可能暗号 (代表)
基盤 (C)	桑原祐史教授	生活環境圏における CO2 濃度の地域性に着目した新たな緑地評価指標の提案 (代表)
基盤 (C)	羽瀨裕真教授	疑似雑音符号系列による知的照明光通信ネットワークの創出 (代表)
若手 (B)	古宮嘉那子講師	局所的な周辺文脈を利用した日本語の教師なし All-words 型語義曖昧性解消 (代表)
基盤 (A)	古宮嘉那子講師	日本語歴史コーパスに対する統語・意味情報アノテーション (分担)
科研費(国際共同研究加速基金)出型]共同研究	古宮嘉那子講師	語義曖昧性解消結果と領域適応を利用した課題情報の抽出(代表)
若手 (B)	芝軒太郎講師	双腕協調タスクモデルに基づく 5 指駆動型筋電電動義手の提案と義手処方支援 (代表)
若手 (B)	米山一樹准教授	エンドツーエンド暗号化通信の数理的安全性モデルに関する研究 (代表)
若手 (B)	小澤佑介助教	海中可視光ワイヤレス給電通信のための高電力効率変調法に関する研究 (代表)

・今年度新規採択課題

種別	教員	研究課題
基盤 (C)	古宮嘉那子講師	複数タスクのタグがついたコーパスによる語義曖昧性解消の転移学習
基盤 (C)	大瀧保広准教授	検索可能暗号の応用システムに関する研究
基盤 (C)	佐々木稔講師	半教師あり学習を用いた語義曖昧性解消

基盤 (B)	藤芳明生准教授	文字認知が困難な児童生徒の公平な学力評価を保証するマルチモーダル問題の開発と評価 (代表)
基盤 (B)	藤芳明生准教授	障害特性に合わせデジタル教科書・教材を最適にバリアフリー化するシステムの研究 (分担)
基盤 (C)	上田賀一教授	組み込みシステムのモデルベース設計のためのハイブリッドモデル検査手法の確立

**・共同研究**

教員名	共同研究課題
桑原祐史教授	生活環境圏における CO2 濃度の計測と実証
桑原祐史教授	平成 30 年度鳥獣被害防止対策に係る委託研究
桑原祐史教授	AI 技術を利用した水害対処の研究
桑原祐史教授	沢渡川流域の雨量モニタリングに基づく精緻な流量解析手法の研究
古宮嘉那子講師	国立国語共同研究プロジェクト 「通時コーパスの構築と日本語史研究の新展開」
新納浩幸教授, 古宮嘉那子講師, 佐々木稔講師	国立国語共同研究プロジェクト 「コーパスアノテーションの拡張・統合・自動化に関する基礎研究」
米山一樹准教授	NTT セキュアプラットフォーム研究所 実運用に即したグループ鍵共有プロトコルの共同研究
米山一樹准教授	東芝 形式検証を用いた暗号プロトコルの安全性検証に関する研究
米山一樹准教授	富士通研究所 セキュリティ攻撃の動向調査
小澤佑介助教	日本フォトリソグラフィ協会可視光通信分科会 フェーズドアレイ光学素子を用いた光空間伝送装置の研究開発
小澤佑介助教	海洋開発研究機構 イメージセンサ型可視光通信を用いた水中無線通信・測距技術の研究
新納浩幸教授	日立水戸エンジニアリング 画像認識技術を利用した作業効率改善に関する研究
新納浩幸教授	アイシン・エイ・ダブリュ株式会社 「時系列モデリング手法の開発」

佐々木稔講師	大和証券投資信託委託株式会社「AI 運用のためのニューステキストからのアルファならびにセンチメント情報自動抽出」
上田賀一教授 高橋竜一助教	日立 AMS「自動運転制御ソフトウェアのアーキテクチャに関する研究」
湊淳教授 原口春海講師	サンテクノ「機械学習による検品ツール開発に関する予備検討」

#### ・受託研究

教員名	受託研究課題
桑原祐史教授	気候変動に伴う沿岸地域の脆弱性評価と適応策の費用便益分析に関する研究
外岡秀行教授	ASTER の TIR データの品質管理に係る研究 ((一財)宇宙システム開発利用推進機構)
外岡秀行教授	平成 30 年度 地球観測用小型赤外カメラ(CIRC)に関する校正検証 ((国研)宇宙航空研究開発機構/JAXA)
藤芳明生准教授	音声教材の効率的な製作方法等に関する調査研究 (文部科学省, NPO 法人テストと学習環境のユニバーサルデザイン研究機構より再委託)

#### ・その他

##### ・今年度新規採択課題

種別	教員	研究課題
茨城大学女性エンパワメント支援制度	原口春海講師	鉄筋製造業における人的要因を考慮した作業計画モデル
研究推進経費 (Research Booster)	新納浩幸教授	文書の埋め込み表現と双方向 LSTM を用いた翻訳文書の訳語校正

##### ・継続研究課題

種別	教員	研究課題
茨城大学研究拠点認定	桑原祐史教授	分野横断型環境情報の生成・公開と観測技術の開発(代表)
茨城大学推進研究プロジェクト	桑原祐史教授	少数民族村落の孤立回避を目的としたネパール国中山間部の環境モニタリング (代表)

8. 計画名: 当教育研究センター構成メンバーによる勉強会  
 実施結果:  
 ・平成 30 年 9 月に、全員参加型の勉強会を実施した。

## 2. 人材育成

1. 計画名: 各種学会等での発表を通じた学生の研究開発力と国際力の向上
  - (1) 実施概要: 本教育研究センターに関連する研究開発の学生による積極的な対外発表および国際会議等への論文採択による学生の研究開発力と国際力の向上を図る
  - (2) 実施予定時期: 平成 30 年 4 月 1 日～平成 31 年 3 月 31 日
  - (3) 実施体制
    - ・ 責任者: 黒澤馨
    - ・ メンバ: 上田賀一, 鎌田賢, 桑原祐史, 齋藤修, 新納浩幸, 外岡秀行, 羽瀨裕真, 山田稔, 米倉達広, 大瀧保広, 藤芳明生, 米山一樹, 原口春海, 岡田信一郎, 古宮嘉那子, 佐々木稔, 芝軒太郎, 野口宏, 小澤佑介, 小花聖輝, 高橋竜一, 堀田大貴
  - (4) 資金獲得計画: 科研費等の各種外部資金獲得を目指す
  - (5) 実施における課題: 特になし
  
2. 計画名: 各種講座やセミナー等による地域人材の育成
  - (1) 実施概要: 地域への還元や地域への貢献を目的とし, 各種講座やセミナー等を通して地域人材を育成し, ひとづくりを図る
  - (2) 実施予定時期: 平成 30 年 4 月 1 日～平成 31 年 3 月 31 日
  - (3) 実施体制
    - ・ 責任者: 黒澤馨
    - ・ メンバ: 上田賀一, 鎌田賢, 桑原祐史, 齋藤修, 新納浩幸, 外岡秀行, 羽瀨裕真, 山田稔, 米倉達広, 大瀧保広, 藤芳明生, 米山一樹, 原口春海, 岡田信一郎, 古宮嘉那子, 佐々木稔, 芝軒太郎, 野口宏, 小澤佑介, 小花聖輝, 高橋竜一, 堀田大貴
  - (4) 資金獲得計画: 特になし
  - (5) 実施における課題: 特になし
  
3. 計画名: 各種発表会等による技術講演・技術交流
  - (1) 実施概要: 本教育研究センターを構成する教員の各種研究開発技術について, 各種発表会等による技術講演・技術交流を通して人材育成を図る
  - (2) 実施予定時期: 平成 30 年 4 月 1 日～平成 31 年 3 月 31 日
  - (3) 実施体制
    - ・ 責任者: 黒澤馨
    - ・ メンバ: 上田賀一, 鎌田賢, 桑原祐史, 齋藤修, 新納浩幸, 外岡秀行, 羽瀨裕真, 山田稔, 米倉達広, 大瀧保広, 藤芳明生, 米山一樹, 原口春海, 岡田信一郎, 古宮嘉那子, 佐々木稔, 芝軒太郎, 野口宏, 小澤佑介, 小花聖輝, 高橋竜一, 堀田大貴
  - (4) 資金獲得計画: 特になし
  - (5) 実施における課題: 特になし

○実施結果(中間報告時と年度末に、実施結果を記載してください。)

- 計画名: 各種学会等での発表を通じた学生の研究開発力と国際力の向上
  - 実施結果: 下記論文誌・国際会議等で学生が発表を行った.
  
- 国際会議論文: Akinaga Ueda, Hayato Tada, Kaoru Kurosawa: (Short Paper) How to Solve DLOG Problem with Auxiliary Input. IWSEC 2018: 104-113
- 国際会議論文: Hayato Tada, Akinaga Ueda, Kaoru Kurosawa: How to Prove KDM Security of BHHO. IWSEC 2018: 281-296
- 国際会議論文: Hiroyuki Shinnou, Xinyu Zhao and Kanako Komiyama, “Domain Adaptation Using a Combination of Multiple Embeddings”, PACLIC 2018, Hong Kong, China, 1-3 December, 2018 (accepted).
- 国際会議論文: Masaya Suzuki, Kanako Komiyama, Minoru Sasaki and Hiroyuki Shinnou, “Fine-tuning for Named Entity Recognition Using Part-of-Speech Tagging”, PACLIC 2018, Hong Kong, China, 1-3 December, 2018.

- 国際会議論文: Jing Bai, Hiroyuki Shinnou and Kanako Komiya, "Domain Adaptation for Sentiment Analysis using Keywords in the Target Domain as the Learning Weight", PACLIC 2018, Hong Kong, China, 1-3 December, 2018.
- 国際会議論文: Aya Tanabe, Kanako Komiya, Masayuki Asahara, Minoru Sasaki and Hiroyuki Shinnou, "Detecting Unknown Word Senses in Contemporary Japanese Dictionary from Corpus of Historical Japanese", JADH 2018, Tokyo, Japan, 9-11 September, 2018.
- 国際会議論文: Rui Suzuki, Kanako Komiya, Masayuki Asahara, Minoru Sasaki and Hiroyuki Shinnou, "All-words Word Sense Disambiguation Using Concept Embeddings", LREC 2018, no 100, Miyazaki Japan, 9-11 May, 2018.
- 国際会議論文: Cheng Shi, Kazuki Yoneyama, "Verification of LINE Encryption Version 1.0 using ProVerif", International Workshop on Security (IWSEC 2018), LNCS11409, pp.158-173, Sep. 2018.
- 国際会議論文: Shintaro Terada, Kazuki Yoneyama, "Improved Verifiable Delegated Private Set Intersection", International Symposium on Information Theory and its Applications (ISITA 2018), pp., Oct. 2018.
- 国際会議論文: Yuma Kanai, Kazuki Yoneyama, "On Hiding Access Timings in ORAM", International Symposium on Information Theory and its Applications (ISITA 2018), pp., Oct. 2018.
- 国際会議論文: Shotaro Naiki, Masaki Kohana, Shusuke Okamoto and Masaru Kamada: A graphical front-end interface for React.js. In: L. Barolli et al. (eds.), Advances in Network-based Information Systems (Proceedings of the 21st International Conference on Network-based Information Systems, NBiS-2018), Lecture Notes on Data Engineering and Communications Technologies 22, Springer, pp.887-896, Sep. 2018.
- 国際会議論文: Shinya Kinoshita, Michitoshi Niibori and Masaru Kamada: An attendance management system capable of mapping participants onto the seat map. In: L. Barolli et al. (eds.), Advances in Network-based Information Systems (Proceedings of the 21st International Conference on Network-based Information Systems, NBiS-2018), Lecture Notes on Data Engineering and Communications Technologies 22, Springer, pp.897-902, Sep. 2018.
- 国際会議論文: Tatsuya Ohyanagi, Tomoyuki Ishida, Noriki Uchida, Yoshitaka Shibata, and Hiromasa Habuchi: "Proposal of a Disaster Support Expert System Using Accumulated Empirical Data", The 12th International Conference on Innovative Mobile and Internet Services in Ubiquitous Computing (IMIS-2018), July 2018
- 国際会議論文: Yutaka Imaizumi, Hiromasa Habuchi, and Koichiro Hashiura : "Improved Packet Success Rate on MC-CDMA based On-demand WSN System with MPOMS", IEEE Vehicular Technology Society Asia Pacific Wireless Communications Symposium (APWCS 2018), Aug. 2018
- 国際会議論文: Hikari Iiduka, Hiromasa Habuchi, and Yusuke Kozawa : "Proposal of VN-CSK System having Positioning Function", IEEE Vehicular Technology Society Asia Pacific Wireless Communications Symposium (APWCS 2018), Aug. 2018
- 国際会議論文: Tomofumi Haruna, Hiromasa Habuchi, and Yusuke Kozawa : "Theoretical Analysis of Optical-Wireless Code Shift Keying System using Extended Einarsson Code", IEEE Vehicular Technology Society Asia Pacific Wireless Communications Symposium (APWCS 2018), Aug. 2018
- 国際会議論文: Yuto Asano, Hiromasa Habuchi, and Yusuke Kozawa : "Exact Bit Error Rate Analysis for Optical-Wireless Framed-DOOK System", IEEE 7th Global Conference of Consumer Electronics (GCCE 2018), (2018-10-12)
- 国際会議論文: Takashi Tokunaga, Hiromasa Habuchi, Yusuke Kozawa, and Ran Sun : "BER Performance Impaired by Transmission Time Offset Between Users in Optical Wireless CSK/ACDMA System Using DMPOMs", IEEE 7th Global Conference of Consumer Electronics (GCCE 2018), (2018-10-12)
- 国際会議論文: Yutaka Imaizumi, Hiromasa Habuchi, and Yusuke Kozawa : "Enhanced On-demand WSN in terms of MC-CDMA with MPOMS", IEEE International Symposium on Intelligent Signal Processing and Communication Systems (ISPACS 2018), pp.102-106, (2018-11-28)
- 国際会議論文: Run Sun, Hiromasa Habuchi, and Yusuke Kozawa : "Proposal of Optical Wireless Turbo Coded System with Hybrid PPM-OOK Signalling", International Conference on Signal Processing and Communication Systems (ICSPCS 2018), (2018-12-18)

- 国際会議論文: Yuto Asano, Hiromasa Habuchi, and Yusuke Kozawa : "Frame Error Detection Performance of Optical-Wireless Advanced Framed-DOOK System", International Conference on Signal Processing and Communication Systems (ICSPCS 2018), (2018-12-19)
- 国際会議論文: Lu Yangzhicheng, Tomoyuki Ishida, Hiromasa Habuchi : "Proposal of a Furniture Layout Simulation System using Mixed Reality Technology", 24th International Symposium on Artificial Life and Robotics pp.808-811, (2019-01-24)
- 国際会議論文: Ryo Nakai, Tatsuya Ohyanagi, Tomoyuki Ishida, Hiromasa Habuchi : "Proposal of a Scalable Interactive Visualization Environment using Large Display in Emergency", 24th International Symposium on Artificial Life and Robotics pp.812-815, (2019-01-24)
- 国際会議論文: Hikari Iizuka, Ran Sun, Hiromasa Habuchi, and Yusuke Kozawa : "High Accuracy Positioning System on Indoor Optical Wireless VN-CSK System", RISP International Workshop on Nonlinear Circuits, Communication and Signal Processing (NCSP'19), (2019-03-05),
- 国際会議論文: Yuta Asano, Hiromasa Habuchi, and Yusuke Kozawa : "Effective Frame Error Detecting Scheme for Optical-Wireless Advanced Framed-DOOK System", RISP International Workshop on Nonlinear Circuits, Communication and Signal Processing (NCSP'19), (2019-03-05)
- その他(研究会等): 柴田 敏弥, 米山 一樹, "UC 安全動的検索可能暗号の拡張とフォワード安全性について", 暗号と情報セキュリティシンポジウム (SCIS 2019), Jan. 2019.
- その他(研究会等): 金井 佑篤, 米山 一樹, "複数のファイルアクセス可能な ORAM", 暗号と情報セキュリティシンポジウム (SCIS 2019), Jan. 2019.
- その他(研究会等): 寺田 槇太郎, 米山 一樹, "CSIDH に基づくパスワードベース認証鍵交換 ", 暗号と情報セキュリティシンポジウム (SCIS 2019), Jan. 2019.
- その他(研究会等): 野口 凌雅, 花谷 嘉一, 米山 一樹, "ProVerif による HEMS におけるグループ鍵管理の検証", 暗号と情報セキュリティシンポジウム (SCIS 2019), Jan. 2019.
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- 計画名:各種講座やセミナー等による地域人材の育成

➤ 実施結果:特になし

- 計画名:各種発表会等による技術講演・技術交流

➤ 実施結果:

講演者	講演内容
原口春海講師	平成 30 年度茨大シーズ発表会「IoT 時代の作業員訓練のあり方」
	水戸英陵高等学校【模擬授業】5 月 24 日 「高校数学の数式で理解する通信ネットワーク」
黒澤馨教授	ハノイ科学大学学生向けサマーセミナー「現代暗号理論入門」

その他(参考資料、報告書など)

(注)このページに収まらない場合は、必要に応じてページを追加する。

## 2. 研究報告

**【代表的な論文】**

# No-Dictionary Searchable Symmetric Encryption\*

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**SUMMARY** In the model of *no-dictionary* searchable symmetric encryption (SSE) schemes, the client does not need to keep the list of keywords  $\mathcal{W}$ . In this paper, we first show a generic method to transform any passively secure SSE scheme to a *no-dictionary* SSE scheme such that the client can verify search results even if  $w \notin \mathcal{W}$ . In particular, it takes only  $O(1)$  time for the server to prove that  $w \notin \mathcal{W}$ . We next present a no-dictionary SSE scheme such that the client can hide even the search pattern from the server. **key words:** searchable symmetric encryption, dictionary, verifiable, search pattern

## 1. Introduction

### 1.1 Background

The notion of searchable symmetric encryption (SSE) schemes was introduced by Song et al. [34]. In the store phase, a client encrypts a set of files and an index table by a symmetric encryption scheme, and then stores them on an untrusted server. In the search phase, he can efficiently retrieve the matching files for a search keyword  $w$  keeping the keyword and the files secret.

Since then, single keyword search SSE schemes [15], [16], [19], [24], [26], dynamic SSE schemes [13], [21], [22], [25], [27], [30], verifiable SSE schemes [24]–[27], [35], multiple keyword search SSE schemes [1], [7], [12], [20], [23], [36] and more [14] have been studied extensively by many researchers.

Curtmola, et al. [16], [17] gave a rigorous definition of privacy against honest but curious servers. Kurosawa and Ohtaki [24], [26] showed a definition of reliability against malicious servers who may return incorrect search results to the client, or may delete some encrypted files to save her memory space. An SSE scheme is called verifiable if it satisfies both privacy and reliability.

Let  $\mathcal{D} = \{D_1, \dots, D_N\}$  be the set of files and  $\mathcal{W} = \{w_1, \dots, w_m\}$  be the set of keywords, where each keyword  $w$  is contained in some file(s). We call  $\mathcal{W}$  a dictionary.

Let  $\mathcal{ID}(w) = \{j \mid D_j \text{ contains } w\}$ . Then an index

table  $\mathcal{T}$  is defined as  $\mathcal{T} = (\mathcal{ID}(w_1), \dots, \mathcal{ID}(w_m))$ , where  $w_i \in \mathcal{W}$ . Let  $\mathcal{I}$  be an encryption of  $\mathcal{T}$ . In the store phase, the client sends  $\mathcal{I}$  and an encryption of  $\mathcal{D}$  to the server.

We say that an SSE scheme is a *no-dictionary* SSE scheme if the client does not need to keep  $\mathcal{W}$ . In usual SSE schemes, the client does not need to keep  $\mathcal{W}$ . However, there are some exceptional cases. In this paper, we study two cases in which it is non-trivial to design an efficient no-dictionary SSE scheme. (The notion of no-dictionary SSE schemes was first studied by Taketani and Ogata [35] in the setting of verifiable SSE schemes.)

### 1.2 No-Dictionary SSE with Search Pattern Hiding

The search pattern is the information on which past queries are the same as the current one, where a query is an encryption of a search word  $w$ . In usual SSE schemes, the search pattern is leaked to the server.

If the client keeps a dictionary  $\mathcal{W}$ , we can construct a search pattern hiding SSE scheme by using the technique of private information retrieval (PIR) [29], [32]<sup>†</sup> (The cost for it is that the communication complexity and the computation complexity increase.)

In the store phase, the client stores an encrypted index table  $\mathcal{I}_0 = (\mathcal{I}_0[1], \dots, \mathcal{I}_0[m])$  such that  $\mathcal{I}_0[i]$  is an encryption of  $\mathcal{T}[i] (= \mathcal{ID}(w_i))$ , where  $w_i \in \mathcal{W}$  for each  $i$ . In the search phase, by using PIR, he obtains  $\mathcal{I}_0[i]$  from the server without revealing any information on the search word  $w_i \in \mathcal{W}$ . This means that the search pattern is hidden from the server. He finally retrieves encryptions of all  $D_j$  such that  $j \in \mathcal{T}[i]$  from the server.

If the client does not want to keep  $\mathcal{W}$  (i.e. no-dictionary SSE), there is a simple way to modify the above scheme. Let  $b$  be the bit length of the longest keyword in  $\mathcal{W}$ , and let  $\pi : \{0, 1\}^{\leq b} \rightarrow \{0, 1\}^\ell$  be an injection for some  $\ell$ . The client constructs an extended index table  $\mathcal{T}_e$  of size  $2^\ell$  such that  $\mathcal{T}_e[\pi(w)] = \mathcal{ID}(w)$ . Then he stores  $\mathcal{I}_e = (\mathcal{I}_e[1], \dots, \mathcal{I}_e[2^\ell])$  such that  $\mathcal{I}_e[i]$  is an encryption of  $\mathcal{T}_e[i]$  to the server, and keeps only  $(b, \pi)$ . In this way, we can obtain a no-dictionary search-pattern hiding SSE scheme. However,  $\mathcal{I}_e$  is much larger than  $\mathcal{I}_0$  because  $2^\ell \gg |\mathcal{W}|$  in general.

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<sup>†</sup>The connection between SSE and PIR was suggested by Curtmola et al. [16], [17].

### 1.3 No-Dictionary Verifiable SSE

Consider a verifiable SSE scheme such as follows. The client stores  $\mathcal{I}_1 = ((a_1, b_1, c_1), \dots, (a_m, b_m, c_m))$  to the server such that

$$(a_i, b_i, c_i) = (F_{k_1}(w_i), F_{k_2}(w_i) + \mathcal{I}\mathcal{D}(w_i), \text{MAC}(a_i, b_i))$$

for each  $w_i \in \mathcal{W}$ , where  $F$  is a pseudorandom function and  $k_1, k_2$  are keys. To search on  $w$ , the client sends

$$(a', b') = (F_{k_1}(w), F_{k_2}(w))$$

to the server. The server finds  $i$  such that  $a' = a_i$  and returns the search result with  $\text{MAC}(a_i, b_i)$ .

Is it a *no-dictionary* verifiable SSE scheme? The answer is no because a malicious server can cheat by saying that  $a' \notin \{a_1, \dots, a_m\}$  (namely  $w \notin \mathcal{W}$ ) even if  $a' \in \{a_1, \dots, a_m\}$ . The client has no way to check this.

We can prevent this cheating by using the extended index table  $\mathcal{T}_e$  defined in Sect. 1.2. However, the encrypted index table gets much larger than  $\mathcal{I}_1$  (see Sect. 1.2).

For this problem, Taketani and Ogata [35] showed a *no-dictionary* verifiable SSE scheme such that the encrypted index table is almost the same size as  $\mathcal{I}_1$ . In this scheme, however, the server takes  $O(N \log(Nm))$  time to prove that  $w \notin \mathcal{W}$ , where  $N = |\mathcal{D}|$  and  $m = |\mathcal{W}|$ .

### 1.4 Our Contribution

In this paper, we first show a generic method to transform any passively secure SSE scheme to a *no-dictionary* verifiable SSE scheme. In the transformed scheme, the encrypted index table is only a few times larger than that of the underlying SSE scheme, and the server takes only  $O(1)$  time to prove that  $w \notin \mathcal{W}$ , which is more efficient than the scheme in [35]. The search time for  $w \in \mathcal{W}$  remains almost the same as that of the original SSE scheme. We also prove that the transformed scheme is UC-secure in Appendix similarly to [24], [26].

We next present a no-dictionary search-pattern hiding SSE scheme such that the encrypted index table is only a few times larger than  $\mathcal{I}_0$  (As in the corresponding dictionary SSE scheme, the cost for it is that the communication complexity and the computation complexity increase.)<sup>†</sup>

We use Cuckoo Hashing [33] in both our results as a main technical tool.

### 1.5 Remark

In the verifiable SSE schemes of [24]–[27], the set of keywords is defined as  $\mathcal{W} = \{0, 1\}^\ell$ . In reality, however, keywords have various length. Therefore we must use the technique of Sect. 1.2 in practice.

<sup>†</sup>This part was not written in the conference version [31] of this paper.

If we use an oblivious RAM (ORAM) in a dynamic SSE scheme [18] (in which the client can update files), we can hide the search pattern and the access pattern. In such a scheme, however, the client must keep the dictionary (or a corresponding list). The communication cost is also large.

## 2. Verifiable Searchable Symmetric Encryption

In this section, we define a no-dictionary (verifiable) SSE scheme and its security. Basically, we follow the notation used in [12], [24], [26].

- Let  $\mathcal{D} = \{D_1, \dots, D_N\}$  be the set of files.
- Let  $\mathcal{W}$  be the set of keywords, where each keyword  $w$  is contained in some file(s).
- For  $w \in \{0, 1\}^*$ , define as follows:

$$\mathcal{D}(w) = \begin{cases} \{D_i \mid D_i \text{ contains } w\} & \text{if } w \in \mathcal{W} \\ \emptyset & \text{otherwise} \end{cases}$$

- Let  $\mathcal{C} = \{C_1, \dots, C_N\}$ , where  $C_i$  is a ciphertext of  $D_i$ .
- Let

$$\mathcal{C}(w) = \{C_i \mid C_i \text{ is a ciphertext of } D_i \in \mathcal{D}(w)\}. \quad (1)$$

Note that  $\mathcal{C}(w) = \emptyset$  if  $w \notin \mathcal{W}$ .

If  $X$  is a bit string,  $|X|$  denotes the bit length of  $X$ . If  $X$  is a set,  $|X|$  denotes the cardinality of  $X$ . ‘‘PPT’’ refers to probabilistic polynomial time, and ‘‘PT’’ refers to polynomial time.

### 2.1 Model

An SSE scheme has two phases, the store phase (which is executed only once) and the search phase (which is executed a polynomial number of times). In the store phase, the client encrypts all files in  $\mathcal{D}$  and stores them on the server. In the search phase, the client sends a ciphertext of a word  $w$ , and the server returns  $\mathcal{C}(w)$ . If there is a mechanism to verify the validity of  $\mathcal{C}(w)$ , the scheme is called a verifiable SSE (vSSE).

Formally, a vSSE scheme consists of the following four polynomial-time algorithms  $\text{vSSE} = (\text{Setup}, \text{Trpdr}, \text{Search}, \text{Dec})$  as follows:

- $(K, \mathcal{I}, \mathcal{C}) \leftarrow \text{Setup}(1^\lambda, \mathcal{D}, \mathcal{W}, \{(w, \mathcal{D}(w)) \mid w \in \mathcal{W}\})$ : a PPT algorithm that generates a key  $K$ , an encrypted index  $\mathcal{I}$ , and the set of encrypted files  $\mathcal{C} = \{C_1, \dots, C_N\}$ , where  $\lambda$  is a security parameter. This algorithm is run by the client in the store phase. He then stores  $(\mathcal{I}, \mathcal{C})$  on the server.
- $t(w) \leftarrow \text{Trpdr}(K, w)$ : a PPT algorithm that outputs a trapdoor  $t(w)$  for  $w \in \{0, 1\}^*$ . This algorithm is run by the client in the search phase.  $t(w)$  is sent to the server.
- $(C^*, \text{Proof}) \leftarrow \text{Search}(\mathcal{I}, \mathcal{C}, t(w))$ : a PT algorithm that outputs the search result  $C^*$  and Proof for the validity check.

This algorithm is run by the server in the search phase. She then returns  $(C^*, \text{Proof})$  to the client.

- $\mathcal{D}^*/\perp \leftarrow \text{Dec}(K, t(w), C^*, \text{Proof})$ : a PT algorithm that decrypts  $C^*$  and verifies its validity based on  $\text{Proof}$ . If not valid, output is  $\perp$ . This algorithm is run by the client in the search phase.

We say that a vSSE satisfies correctness if the following holds for any  $K, \mathcal{D}, \mathcal{W}, \{(w, \mathcal{D}(w)) \mid w \in \mathcal{W}\}$  and any word  $w \in \{0, 1\}^*$ .

- If

$$\begin{aligned} (K, \mathcal{I}, C) &\leftarrow \text{Setup}(1^\lambda, \mathcal{D}, \mathcal{W}, \\ &\quad \{(w, \mathcal{D}(w)) \mid w \in \mathcal{W}\}), \\ t(w) &\leftarrow \text{Trpdr}(K, w), \\ (C^*, \text{Proof}) &\leftarrow \text{Search}(\mathcal{I}, C, t(w)), \\ \mathcal{D}^* &\leftarrow \text{Dec}(K, t(w), C^*, \text{Proof}), \end{aligned}$$

then

$$\mathcal{D}^* = \mathcal{D}(w).$$

We assume that  $C^*$  is equal to  $C(w) (\subset C)$  as in most existing schemes.

An (not verifiable) SSE scheme is defined by omitting  $\text{Proof}$ .

## 2.2 Security Definition

We next define the security of vSSE schemes. Note that a search word  $w$  does not need to belong to the set  $\mathcal{W}$ .

**Privacy.** In a (v)SSE, the server should learn almost no information on  $\mathcal{D}, \mathcal{W}$ , and the search word  $w$ . Let  $L_1(\mathcal{D}, \mathcal{W})$  denote the information that the server can learn in the store phase, and let  $L_2(\mathcal{D}, \mathcal{W}, \mathbf{w}, w)$  denote that in the search phase, where  $w$  is the current search word and  $\mathbf{w} = (w_1, w_2, \dots)$  is the list of the past search words queried so far.

In most existing SSE schemes,  $L_1(\mathcal{D}, \mathcal{W}) = (|D_1|, \dots, |D_N|, |\mathcal{W}|)$ , and  $L_2(\mathcal{D}, \mathcal{W}, \mathbf{w}, w)$  consists of  $\{j \mid D_j \in \mathcal{D}(w)\}$  and the search pattern

$$\text{SPattern}((w_1, \dots, w_{q-1}), w) = (sp_1, \dots, sp_{q-1}),$$

where

$$sp_j = \begin{cases} 1 & \text{if } w_j = w, \\ 0 & \text{if } w_j \neq w. \end{cases}$$

The search pattern reveals which past queries are the same as  $w$ .

Let  $L = (L_1, L_2)$ . The client's privacy is defined by using two games: a real game  $\mathbf{Game}_{real}$  and a simulation game  $\mathbf{Game}_{sim}^L$ , as shown in Figs. 1 and 2, respectively.  $\mathbf{Game}_{real}$  is played by a challenger  $\mathbf{C}$  and an adversary  $\mathbf{A}$ , and  $\mathbf{Game}_{sim}^L$  is played by  $\mathbf{C}, \mathbf{A}$ , and a simulator  $\mathbf{S}$ .

**Definition 1** ( $L$ -privacy): We say that a vSSE scheme has

1. Adversary  $\mathbf{A}$  chooses  $(\mathcal{D}, \mathcal{W})$  and sends them to challenger  $\mathbf{C}$ .
2.  $\mathbf{C}$  generates  $(K, \mathcal{I}, C) \leftarrow \text{Setup}(1^\lambda, \mathcal{D}, \mathcal{W}, \{(w, \mathcal{D}(w)) \mid w \in \mathcal{W}\})$  and sends  $(\mathcal{I}, C)$  to  $\mathbf{A}$ .
3. For  $i = 1, \dots, q$ , do:
  - a.  $\mathbf{A}$  chooses a word  $w_i \in \{0, 1\}^*$  and sends it to  $\mathbf{C}$ .
  - b.  $\mathbf{C}$  sends the trapdoor  $t(w_i) \leftarrow \text{Trpdr}(K, w_i)$  back to  $\mathbf{A}$ .
4.  $\mathbf{A}$  outputs bit  $b$ .

**Fig. 1** Real game  $\mathbf{Game}_{real}$ .

1. Adversary  $\mathbf{A}$  chooses  $(\mathcal{D}, \mathcal{W})$  and sends them to challenger  $\mathbf{C}$ .
2.  $\mathbf{C}$  sends  $L_1(\mathcal{D}, \mathcal{W})$  to simulator  $\mathbf{S}$ .
3.  $\mathbf{S}$  computes  $(\mathcal{I}, C)$  from  $L_1(\mathcal{D}, \mathcal{W})$ , and sends them to  $\mathbf{C}$ .
4.  $\mathbf{C}$  relays  $(\mathcal{I}, C)$  to  $\mathbf{A}$ .
5. For  $i = 1, \dots, q$ , do:
  - a.  $\mathbf{A}$  chooses  $w_i \in \{0, 1\}^*$  and sends it to  $\mathbf{C}$ .
  - b.  $\mathbf{C}$  sends  $L_2(\mathcal{D}, \mathcal{W}, \mathbf{w}, w_i)$  to  $\mathbf{S}$ , where  $\mathbf{w} = (w_1, \dots, w_{i-1})$ .
  - c.  $\mathbf{S}$  computes  $t(w_i)$  from  $L_2(\mathcal{D}, \mathcal{W}, \mathbf{w}, w_i)$  and sends it to  $\mathbf{C}$ .
  - d.  $\mathbf{C}$  relays  $t(w_i)$  to  $\mathbf{A}$ .
6.  $\mathbf{A}$  outputs bit  $b$ .

**Fig. 2** Simulation game  $\mathbf{Game}_{sim}^L$ .

$L$ -privacy, if there exists a PPT simulator  $\mathbf{S}$  such that

$$\begin{aligned} &|\Pr[\mathbf{A} \text{ outputs } b = 1 \text{ in } \mathbf{Game}_{real}] \\ &- \Pr[\mathbf{A} \text{ outputs } b = 1 \text{ in } \mathbf{Game}_{sim}^L]| \end{aligned} \quad (2)$$

is negligible for any PPT adversary  $\mathbf{A}$ .

**Reliability.** In an SSE scheme, a malicious server might cheat a client by returning a false result  $\tilde{C}^* (\neq C(w))$  during the search phase. (Weak) reliability guarantees that the client can detect such a malicious behavior. Formally, reliability is defined by game  $\mathbf{Game}_{reli}$  shown in Fig. 3, which is played by an adversary  $\mathbf{B} = (\mathbf{B}_1, \mathbf{B}_2)$  (malicious server) and a challenger  $\mathbf{C}$ .  $\mathbf{B}_1$  and  $\mathbf{B}_2$  are assumed to be able to communicate freely.

**Definition 2** (Reliability): We say that  $\mathbf{B}$  wins in  $\mathbf{Game}_{reli}$  if  $\mathbf{B}_1$  receives  $\mathcal{D}_i^*$  such that  $\mathcal{D}_i^* \notin \{\mathcal{D}(w_i), \perp\}$  for some  $i$ . We say that a vSSE scheme satisfies reliability if for any PPT adversary  $\mathbf{B}$ ,

$$\Pr[\mathbf{B} \text{ wins in } \mathbf{Game}_{reli}]$$

is negligible.

For SSE schemes in which  $C^* = C(w)$  is assumed to be returned as a search result, strong reliability was also defined in [26]. In strong reliability, the server has to answer a wrong pair  $(\tilde{C}^*, \overline{\text{Proof}}) (\neq (C(w), \text{Proof}))$  that will be accepted in the search phase to win the game.

(Store phase)

1.  $\mathbf{B}_1$  chooses  $(\mathcal{D}, \mathcal{W})$  and sends them to  $\mathbf{C}$ .
2.  $\mathbf{C}$  generates  $(K, \mathcal{I}, C) \leftarrow \text{Setup}(1^\lambda, \mathcal{D}, \mathcal{W}, \{(w, \mathcal{D}(w)) \mid w \in \mathcal{W}\})$ , and sends  $(\mathcal{I}, C)$  to  $\mathbf{B}_2$ .

(Search phase) For  $i = 1, \dots, q$ , do

1.  $\mathbf{B}_1$  chooses  $w_i \in \{0, 1\}^*$  and sends it to  $\mathbf{C}$ .
2.  $\mathbf{C}$  sends the trapdoor  $t(w_i) \leftarrow \text{Trpdr}(K, w_i)$  to  $\mathbf{B}_2$ .
3.  $\mathbf{B}_2$  returns  $(\tilde{C}_i^*, \text{Proof}_i)$  to  $\mathbf{C}$ .
4.  $\mathbf{C}$  computes

$$\tilde{\mathcal{D}}_i^* \leftarrow \text{Dec}(K, t(w_i), \tilde{C}_i^*, \text{Proof}_i)$$

and returns  $\tilde{\mathcal{D}}_i^*$  to  $\mathbf{B}_1$ .  $\tilde{\mathcal{D}}_i^*$  can be  $\perp$ .

Fig. 3  $\text{Game}_{\text{reli}}$ .

**Definition 3** (Strong Reliability): We say that  $\mathbf{B}$  strongly wins in  $\text{Game}_{\text{reli}}$  if there exists  $i$ , such that both  $\text{Dec}(K, t(w_i), \tilde{C}_i^*, \text{Proof}_i) \neq \perp$  and  $(\tilde{C}_i^*, \text{Proof}_i) \neq (C(w_i), \text{Proof}_i)$  hold. We say that a vSSE scheme satisfies strong reliability if for any PPT adversary  $\mathbf{B}$ ,

$$\Pr[\mathbf{B} \text{ strongly wins in } \text{Game}_{\text{reli}}]$$

is negligible.

### 3. Building Blocks

#### 3.1 Cuckoo Hashing

Cuckoo Hashing [33] is a hashing algorithm with the advantage that the search time is constant. To store  $n$  keys, it uses two tables  $T_1$  and  $T_2$  of size  $m$ , and two independent random hash functions  $h_1$  and  $h_2$  with the range  $\{1, \dots, m\}$ . Every key  $x$  is stored at one of two positions,  $T_1(h_1(x))$  or  $T_2(h_2(x))$ . So we need to inspect at most two positions to search  $x$ .

It can happen that both possible places  $T_1(h_1(x))$  and  $T_2(h_2(x))$  of a given key  $x$  are already occupied. This problem is solved by allowing  $x$  to throw out the key (say  $y$ ) occupying the position  $T_1(h_1(x))$ . Next, we insert  $y$  at its alternative position  $T_2(h_2(y))$ . If it is already occupied, we repeat the above steps until we find an empty position. If we failed after some number of trials, we choose new hash functions and rebuild the data structure.

Let  $n = m(1 - \epsilon)$  for some  $\epsilon \in (0, 1)$ . Then the above algorithm succeeds with probability  $1 - c(\epsilon)/m + O(1/m^2)$  for some explicit function  $c(\cdot)$  [28]. The expected construction time of  $(T_1, T_2)$  is bounded above by [28]

$$2n \frac{1 - e^{\epsilon-1}}{(1 - e^{\epsilon-1}) + \epsilon}. \quad (3)$$

#### 3.2 Pseudo-Random Function

Let  $\mathcal{R}$  be a family of all functions  $f : \{0, 1\}^* \rightarrow \{0, 1\}^n$ . We say that  $F : \{0, 1\}^\ell \times \{0, 1\}^* \rightarrow \{0, 1\}^n$  is a pseudo-random

function if for any PPT distinguisher  $\mathbf{D}$ ,

$$\left| \Pr[k \xleftarrow{\$} \{0, 1\}^\ell : \mathbf{D}^{F(k, \cdot)} = 1] - \Pr[f \xleftarrow{\$} \mathcal{R} : \mathbf{D}^{f(\cdot)} = 1] \right|$$

is negligibly small.

It is well known that a pseudo-random function works as a MAC which is existentially unforgeable against chosen message attack.

### 4. Generic Transformation from SSE to vSSE

In this section, we show a generic method to transform any SSE which satisfies privacy to a no-dictionary verifiable SSE. In the transformed scheme, the encrypted index table is only a few times larger than that of the underlying SSE scheme, and the server takes only  $O(1)$  time to prove that  $w \notin \mathcal{W}$ . The search time for  $w \in \mathcal{W}$  remains almost the same as that of the original SSE scheme. We also prove that the transformed scheme is UC-secure in Appendix similarly to [24], [26].

#### 4.1 Construction

Let  $\text{SSE}_0 = (\text{Setup}_0, \text{Trpdr}_0, \text{Search}_0, \text{Dec}_0)$  be an SSE scheme. We construct a no-dictionary verifiable SSE  $\text{vSSE}_1 = (\text{Setup}_1, \text{Trpdr}_1, \text{Search}_1, \text{Dec}_1)$  as follows. Let  $F$  be a pseudo-random function.

- $\text{Setup}_1(1^\lambda, \mathcal{D}, \mathcal{W}, \{(w, \mathcal{D}(w)) \mid w \in \mathcal{W}\})$ : Let  $\mathcal{W} = \{w_1, w_2, \dots, w_{|\mathcal{W}|}\}$ .

1. Run  $\text{Setup}_0(1^\lambda, \mathcal{D}, \mathcal{W}, \{(w, \mathcal{D}(w)) \mid w \in \mathcal{W}\})$  to obtain  $(K_0, \mathcal{I}_0, C)$ . Note that  $C_i \in C$  is a ciphertext of each file  $D_i \in \mathcal{D}$ .
2. Randomly choose a key  $k$  of  $F$ . We write  $F_k(x)$  instead of  $F(k, x)$ .
3. Compute  $\text{key}_j \leftarrow F_k(0 \| w_j)$  for all  $w_j \in \mathcal{W}$ .
4. Construct cuckoo hash tables  $(T'_1, T'_2)$  of size  $|\mathcal{W}| + 1$  which store  $\{\text{key}_j\}_{j=1}^{|\mathcal{W}|}$ . Let  $(h_1, h_2)$  be the hash functions which are used to construct  $(T'_1, T'_2)$ . This means that

$$T'_1(h_1(\text{key}_j)) = \text{key}_j \text{ or } T'_2(h_2(\text{key}_j)) = \text{key}_j$$

for each  $\text{key}_j$ . When failing in constructing tables, go back to step 2.

5. Construct two tables  $(T_1, T_2)$  of size  $|\mathcal{W}| + 1$  as follows:  
For  $a = 1, 2$  and  $i = 1, \dots, |\mathcal{W}| + 1$ , if  $T'_a(i) = \text{key}_j$  for some  $\text{key}_j = F_k(0 \| w_j)$ , then

$$T_a(i) \leftarrow \langle \text{key}_j, F_k(a \| i \| \text{key}_j), F_k(3 \| \text{key}_j \| C(w_j)) \rangle.$$

Otherwise

$$T_a(i) \leftarrow \langle \text{null}, F_k(a \| i \| \text{null}), \text{null} \rangle.$$

6. Output  $(K = (K_0, k), \mathcal{I} = (\mathcal{I}_0, T_1, T_2, h_1, h_2), C)$ .

The client sends  $(\mathcal{I}, C)$  to the server, and keeps  $K$  secret.

For each  $key_j = F_k(0||w_j)$ , it holds that

$$\begin{aligned} T_1(h_1(key_j)) \\ = \langle key_j, F_k(1||h_1(key_j)||key_j), F_k(3||key_j||C(w_j)) \rangle \end{aligned}$$

or

$$\begin{aligned} T_2(h_2(key_j)) \\ = \langle key_j, F_k(2||h_2(key_j)||key_j), F_k(3||key_j||C(w_j)) \rangle. \end{aligned}$$

- $\text{Trpdr}_1((K_0, k), w)$  : Compute  $key \leftarrow F_k(0||w)$  and  $t_0(w) \leftarrow \text{Trpdr}_0(K_0, w)$ . Output  $t(w) = (key, t_0(w))$ .

The client sends  $t(w)$  to the server, where  $w$  is a search word.

- $\text{Search}_1((\mathcal{I}_0, T_1, T_2, h_1, h_2), C, t(w) = (key, token))$ : Retrieve

$$\begin{aligned} \langle \alpha_1, \beta_1, \gamma_1 \rangle &\leftarrow T_1(h_1(key)), \\ \langle \alpha_2, \beta_2, \gamma_2 \rangle &\leftarrow T_2(h_2(key)). \end{aligned}$$

Let

$$C^* \leftarrow \begin{cases} \text{Search}_0(\mathcal{I}_0, C, token) & \text{if } key \in \{\alpha_1, \alpha_2\} \\ \emptyset & \text{otherwise} \end{cases}$$

$$\text{Proof} \leftarrow \begin{cases} \gamma_1 & \text{if } key = \alpha_1 \\ \gamma_2 & \text{if } key = \alpha_2 \\ (\alpha_1, \beta_1, \alpha_2, \beta_2) & \text{otherwise} \end{cases}$$

Output  $(C^*, \text{Proof})$ .

The server returns  $(C^*, \text{Proof})$  to the client.

- $\text{Dec}_1((K_0, k), t(w) = (key, token), C^*, \text{Proof})$  :  
**(Case 1)**  $\text{Proof} = \gamma$ .  
 If  $\gamma \neq F_k(3||key||C^*)$ , then output  $\perp$ .  
**(Case 2)**  $\text{Proof} = (\alpha_1, \beta_1, \alpha_2, \beta_2)$ .  
 If  $C^* \neq \emptyset$  or  $key \in \{\alpha_1, \alpha_2\}$  or  $\beta_1 \neq F_k(1||h_1(key)||\alpha_1)$  or  $\beta_2 \neq F_k(2||h_2(key)||\alpha_2)$ , then output  $\perp$ .  
 Otherwise, compute  $\mathcal{D}^* \leftarrow \text{Dec}_0(K_0, token, C^*)$  and output  $\mathcal{D}^*$ .

The client obtains  $\perp$  or  $\mathcal{D}^*$ .

## 4.2 Example

Suppose that there are 7 keywords  $\mathcal{W} = \{w_1, \dots, w_7\}$  and 8 ciphertexts  $C = \{C_1, \dots, C_8\}$  such that  $C(w_j)$  are given in Table 1. In the same table,  $h_1(key_j)$  and  $h_2(key_j)$  are the hash values which are used to construct the cuckoo hash tables  $(T'_1, T'_2)$  for the set  $\{key_j = F_k(0||w_j) \mid j = 1, \dots, 7\}$ .

Then  $T_1$  and  $T_2$  are constructed as shown in Table 2. Note that the size of each table is  $8 = |\mathcal{W}| + 1$ .

(Case 1) Suppose that a client searches for a keyword  $w_3 \in \mathcal{W}$ .

1. The client sends trapdoor  $(key_3, t_0(w_3))$  to the server.
2. Since  $h_1(key_3) = 6$  and  $h_2(key_3) = 4$ , the server retrieves

$$\begin{aligned} \langle \alpha_1, \beta_1, \gamma_1 \rangle &= T_1(6) \\ &= \langle key_3, F_k(1||6||key_3), F_k(3||key_3||C_1, C_4) \rangle, \\ \langle \alpha_2, \beta_2, \gamma_2 \rangle &= T_2(4) \\ &= \langle key_2, F_k(2||4||key_2), F_k(3||key_2||C_2) \rangle \end{aligned}$$

from  $T_1$  and  $T_2$ .

Because  $\alpha_1 = key_3$ , the server obtains the search result

$$\begin{aligned} C^* &= (C_1, C_4) \leftarrow \text{Search}_0(\mathcal{I}_0, C, t_0(w_3)), \\ \text{Proof} &= \gamma_1 = F_k(3||key_3||C_1, C_4), \end{aligned}$$

and returns  $(C^*, \text{Proof})$  to the client.

3. The client verifies if  $\gamma_1 = F_k(3||key_3||C^*)$ .

(Case 2) Suppose that the client searches for  $w \notin \mathcal{W}$ .

1. The client computes  $key \leftarrow F_k(0||w)$  and  $t_0(w) \leftarrow \text{Trpdr}_0(K_0, w)$ . He sends  $t(w) = (key, t_0(w))$  to the server.
2. Suppose that  $h_1(key) = 5$  and  $h_2(key) = 3$ . Then the server retrieves

$$\begin{aligned} \langle \alpha_1, \beta_1, \gamma_1 \rangle &= T_1(5) \\ &= \langle null, F_k(1||5), null \rangle, \\ \langle \alpha_2, \beta_2, \gamma_2 \rangle &= T_2(3) \\ &= \langle key_4, F_k(2||3||key_4), F_k(3||key_4||C_1, C_3, C_7) \rangle. \end{aligned}$$

Because  $key \notin \{\alpha_1, \alpha_2\}$ , the server returns  $C^* = \emptyset$  and  $\text{Proof} = (\alpha_1, \beta_1, \alpha_2, \beta_2) = (null, F_k(1||5), key_4, F_k(2||3||key_4))$ .

**Table 1** Example.

keyword $w_j$	$C(w_j)$	$h_1(key_j)$	$h_2(key_j)$
$w_1$	$C_1, C_4, C_5, C_8$	6	1
$w_2$	$C_2$	2	4
$w_3$	$C_1, C_4$	6	4
$w_4$	$C_1, C_3, C_7$	6	3
$w_5$	$C_2, C_6$	7	8
$w_6$	$C_5, C_8$	7	6
$w_7$	$C_1$	2	8

**Table 2** Cuckoo hash tables  $(T_1, T_2)$ .

$i$	$T_1(i)$	$i$	$T_2(i)$
1	$\langle null, F_k(1  1), null \rangle$	1	$\langle key_1, F_k(2  1  key_1), F_k(3  key_1  C_1, C_4, C_5, C_8) \rangle$
2	$\langle key_7, F_k(1  2  key_7), F_k(3  key_7  C_1) \rangle$	2	$\langle null, F_k(2  2), null \rangle$
3	$\langle null, F_k(1  3), null \rangle$	3	$\langle key_4, F_k(2  3  key_4), F_k(3  key_4  C_1, C_3, C_7) \rangle$
4	$\langle null, F_k(1  4), null \rangle$	4	$\langle key_2, F_k(2  4  key_2), F_k(3  key_2  C_2) \rangle$
5	$\langle null, F_k(1  5), null \rangle$	5	$\langle null, F_k(2  5), null \rangle$
6	$\langle key_3, F_k(1  6  key_3), F_k(3  key_3  C_1, C_4) \rangle$	6	$\langle null, F_k(2  6), null \rangle$
7	$\langle key_6, F_k(1  7  key_6), F_k(3  key_6  C_5, C_8) \rangle$	7	$\langle null, F_k(2  7), null \rangle$
8	$\langle null, F_k(1  8), null \rangle$	8	$\langle key_5, F_k(2  8  key_5), F_k(3  key_5  C_2, C_6) \rangle$

3. The client verifies if  $key \notin \{\alpha_1, \alpha_2\}$ ,  $\beta_1 = F_k(1||h_1(key)||\alpha_1)$ , and  $\beta_2 = F_k(2||h_2(key)||\alpha_2)$ .

#### 4.3 Efficiency

The efficiency of our transformed scheme  $vSSE_1$  is estimated as follows:

- In the store phase,  $|\mathcal{W}|$  keys are stored in two tables, where each table has size  $m = |\mathcal{W}| + 1$ . Therefore, the client takes the expected time  $O(|\mathcal{W}|) + time(Setup_0)$  to run  $Setup_1$  from Eq. (3).
- In the search phase, the search time for  $w \in \mathcal{W}$  is almost the same as that of the original scheme.
- The server takes only  $O(1)$  time to prove that  $w \notin \mathcal{W}$  because the search time is constant in cuckoo hashing.

To prove that  $w \notin \mathcal{W}$ , in the method of [35], the server takes  $O(N \log N |\mathcal{W}|)$  time. In the concrete method (Algorithm 1+2) in [6], it takes  $O(\log |\mathcal{W}|) + time(Search_0)$ .

#### 4.4 Security

**Theorem 1:** If the underlying scheme  $SSE_0$  has  $L = (L_1, L_2)$ -privacy and  $F$  is a pseudorandom function, then our scheme  $vSSE_1$  has  $L' = (L'_1, L'_2)$ -privacy such that

$$\begin{aligned} L'_1(\mathcal{D}, \mathcal{W}) &= L_1(\mathcal{D}, \mathcal{W}) \cup \{|\mathcal{W}|\}, \\ L'_2(\mathcal{D}, \mathcal{W}, \mathbf{w}, w_i) &= L_2(\mathcal{D}, \mathcal{W}, \mathbf{w}, w_i) \\ &\quad \cup \{SPattern(\mathbf{w}, w_i), [w_i \in \mathcal{W}]\}. \end{aligned} \quad (4)$$

In the all existing SSE schemes,  $|\mathcal{W}| \in L_1(\mathcal{D}, \mathcal{W})$  and  $\{SPattern(\mathbf{w}, w_i), [w_i \in \mathcal{W}]\} \subseteq L_2(\mathcal{D}, \mathcal{W}, \mathbf{w}, w_i)$ . (There may be some exceptions which use oblivious RAM. But such SSE schemes are inefficient.) So, the client's privacy in our  $vSSE$  scheme has the same level as that of the underlying SSE scheme.

(Proof) Let  $S_0$  be a simulator of the underlining SSE scheme which has  $(L_1, L_2)$ -privacy. We construct a simulator  $S$  of our  $vSSE$  scheme which achieves  $(L'_1, L'_2)$ -privacy as follows.

(Store phase) In  $Game_{sim}$ ,  $S$  takes  $L'_1(\mathcal{D}, \mathcal{W}) = L_1(\mathcal{D}, \mathcal{W}) \cup \{|\mathcal{W}|\}$  as an input.  $S$  runs  $S_0(L_1(\mathcal{D}, \mathcal{W}))$  and gets its output  $(\mathcal{I}_0, C)$ . Next  $S$  constructs  $T_1$  and  $T_2$  as follows. Note that the size of each  $T_1, T_2$  is  $m = |\mathcal{W}| + 1$ .

- Choose  $key'_1, \dots, key'_{|\mathcal{W}|}$  randomly, where  $key'_i$  is the simulated value of  $key_j = F_k(0||w_j)$  such that  $\{key'_1, \dots, key'_{|\mathcal{W}|}\} = \{key_1, \dots, key_{|\mathcal{W}|}\}$ .
- Construct the cuckoo hash tables  $(T'_1, T'_2)$  which store  $(key'_{\pi(1)}, \dots, key'_{\pi(|\mathcal{W}|)})$ , where  $\pi$  is a random permutation. Let  $h_1, h_2$  be the two hash functions which are used to construct  $(T'_1, T'_2)$ .
- For  $a = 1, 2$  and  $i = 1, \dots, |\mathcal{W}| + 1$ , if  $T'_a(i) = key'_j$  for some  $j$ , then choose two random strings  $r$  and  $r'$ , and  $T_a(i) \leftarrow \langle key'_j, r, r' \rangle$ . Otherwise, choose a random string  $r$  and  $T_a(i) \leftarrow \langle null, r, null \rangle$ .

$S$  sends  $(\mathcal{I}_0, T_1, T_2, h_1, h_2)$  and  $C$  to the challenger. Let  $cntr \leftarrow 1$ , where  $cntr$  will denote the number of distinct keywords which the client has queried.

(Search phase) In the  $i$ th search phase,  $S$  takes  $L'_2(\mathcal{D}, \mathcal{W}, \mathbf{w}, w^*) = L_2(\mathcal{D}, \mathcal{W}, \mathbf{w}, w^*) \cup \{SPattern(\mathbf{w}, w^*), [w^* \in \mathcal{W}]\}$  as an input.  $S$  first obtains  $t_0(w^*)$  by running  $S_0(L_2(\mathcal{D}, \mathcal{W}, \mathbf{w}, w^*))$ , and sets

$$\begin{aligned} key_i^* &\leftarrow \begin{cases} key'_{cntr} & \text{if } sp_j = 0 \text{ for all } j \text{ and } w^* \in \mathcal{W}, \\ key_j^* & \text{if } sp_j = 1 \text{ for some } j, \\ \text{random} & \text{otherwise.} \end{cases} \\ cntr &\leftarrow \begin{cases} cntr + 1 & \text{if } sp_j = 0 \text{ for all } j \text{ and } w^* \in \mathcal{W}, \\ cntr & \text{otherwise.} \end{cases} \end{aligned}$$

$S$  outputs  $(key_i^*, t_0(w^*))$  as a simulated trapdoor.

We will prove that there is no adversary  $A$  who can efficiently distinguish between  $Game_{real}$  and  $Game_{sim}$ . We consider a game sequence  $(Game_{real}, Game_{mid}, Game_{sim})$ .  $Game_{mid}$  is the same as  $Game_{real}$  except that all values of  $F_k(\cdot)$  are replaced with random strings. For  $i \in \{real, mid, sim\}$ , define

$$P_i = \Pr[A \text{ outputs } b = 1 \text{ in } Game_i].$$

Then  $|P_{real} - P_{mid}|$  is negligible because  $F$  is a pseudorandom function. We can also see that  $|P_{mid} - P_{sim}|$  is negligible from the  $(L_1, L_2)$ -privacy of the underlying SSE scheme. Consequently,  $|P_{real} - P_{sim}|$  is negligible.  $\square$

**Theorem 2:** Our  $vSSE$  scheme  $vSSE_1$  satisfies strong reliability if  $F$  is a pseudorandom function.

(Proof) We look at the pseudorandom function  $F$  as a MAC.

Suppose that there exists an adversary  $B = (B_1, B_2)$  who can break the strong reliability of our  $vSSE$  scheme, and  $B$  runs the search phase  $q$  times. Let  $(\tilde{C}_i^*, \widetilde{Proof}_i)$  be  $B_2$ 's response to  $t(w_i) = (key_i, t_0(w_i))$  in the  $i$ th search phase, and let

$$(C(w_i), Proof_i) = Search_1(\mathcal{I}, C, t(w_i)).$$

From the definition,  $B$  strongly wins if there exists  $i \in \{1, \dots, q\}$  such that

$$\begin{aligned} (\tilde{C}_i^*, \widetilde{Proof}_i) &\neq (C(w_i), Proof_i) \quad \text{and} \\ Dec_1(K, (key_i, t_0(w_i)), \tilde{C}_i^*, \widetilde{Proof}_i) &\neq \perp. \end{aligned} \quad (5)$$

By using  $B$ , we will construct a forger  $F$  against the MAC, where  $F$  has oracle access to  $F_k$ .

First,  $F$  randomly chooses  $J \in \{1, \dots, q\}$ . Then,  $F$  runs  $B$  by playing the role of the challenger  $C$  (see Fig. 3) until the  $(J - 1)$ th search phase. During this simulation, when  $C$  needs to compute  $F_k(x)$  for some  $x$ ,  $F$  queries  $x$  to its oracle  $F_k$ .

In the  $J$ th search phase, there are three cases:

- (1)  $\widetilde{Proof}_J = \tilde{y}$ .

In this case,  $F$  outputs  $m' = (3||key_J||\tilde{C}_J^*)$  and  $tag' = \tilde{y}$



as a forgery of the MAC  $F$ .

(2)  $\text{Proof}_J = \gamma$  and  $\widetilde{\text{Proof}}_J = (\tilde{\alpha}_1, \tilde{\beta}_1, \tilde{\alpha}_2, \tilde{\beta}_2)$ .

Since  $\text{Proof}_J = \gamma$ , there exists  $a \in \{1, 2\}$  such that  $T_a(h_a(\text{key}_J)) = \langle \text{key}_J, F_k(a \| h_a(\text{key}_J) \| \text{key}_J), \dots \rangle$ . For this  $a$ ,  $\mathbf{F}$  outputs  $m' = (a \| h_a(\text{key}_J) \| \tilde{\alpha}_a)$  and  $\text{tag}' = \tilde{\beta}_a$  as a forgery.

(3)  $\text{Proof}_J = (\alpha_1, \beta_1, \alpha_2, \beta_2)$  and  $\widetilde{\text{Proof}}_J = (\tilde{\alpha}_1, \tilde{\beta}_1, \tilde{\alpha}_2, \tilde{\beta}_2)$ . If there exists  $a \in \{1, 2\}$  s.t.  $(\alpha_a, \beta_a) \neq (\tilde{\alpha}_a, \tilde{\beta}_a)$ , then,  $\mathbf{F}$  outputs  $m' = (a \| h_a(\text{key}_J) \| \tilde{\alpha}_a)$  and  $\text{tag}' = \tilde{\beta}_a$  as a forgery. Otherwise  $\mathbf{F}$  outputs “fail.”

Now  $\mathbf{F}$  succeeds in forgery if  $\mathbf{B}$  strongly wins and  $\mathbf{F}$  correctly predicts  $i$  which satisfies Eq. (5), i.e., Eq. (5) holds in  $i = J$ . Since  $\mathbf{F}$  predicts  $J$  correctly with probability  $1/q$ , we obtain that

$$\begin{aligned} & \Pr[\mathbf{F} \text{ succeeds in forgery}] \\ & \geq \Pr[\mathbf{B} \text{ strongly wins in } \mathbf{Game}_{\text{reli}}] \times \frac{1}{q}. \end{aligned}$$

□

We prove the UC-security of vSSE<sub>1</sub> in Appendix.

## 5. Search-Pattern Hiding

As mentioned before, the existing no-dictionary SSE schemes leak search pattern. Namely, they have  $(L_1, L_2)$ -privacy (Def. 1) such that  $L_2$  includes search pattern.

In this section, we show a no-dictionary search-pattern hiding SSE scheme such that the encrypted index table is only a few times larger than  $\mathcal{I}_0$  which is defined in Sect. 1.2.

We consider a model such that the search phase consists of two subprotocols. In the first subprotocol, the client obtains

$$\mathcal{ID}(w) = \{i \mid D_i \text{ contains } w \text{ as a keyword}\}$$

for the search word  $w$ . In the second subprotocol, he obtains

$$C(w) = \{C_i \mid i \in \mathcal{ID}(w)\}.$$

We focus on the first subprotocol, in which the search pattern should be hidden. The definition of privacy is the same as Def. 1.

If we use PIR in the second subprotocol in addition, we can hide even the access pattern.

### 5.1 PIR

PIR is a two party protocol between a sender and a receiver such as follows. The sender has a database  $\mathcal{M} = (m_1, \dots, m_N)$ . The receiver wants to obtain  $m_{idx}$  without revealing the index  $idx$ . A trivial solution is that the sender sends the entire  $\mathcal{M}$  to the receiver. In PIR, this must be realized with less amount of communication. There exists a PIR scheme such that the communication overhead is  $O((\log N)^2)$  [29], [32].

A PIR scheme consists of four algorithms

$(\text{Gen}_{\text{PIR}}, \text{Query}_{\text{PIR}}, \text{Ans}_{\text{PIR}}, \text{Dec}_{\text{PIR}})$ , where the first two are PPT algorithms and the last two are PT algorithms.

- $(pk, sk) \leftarrow \text{Gen}_{\text{PIR}}(1^\lambda)$ : The receiver runs this algorithm, and sends  $pk$  to the sender. He keeps  $sk$  secret.
- $Q^{idx} \leftarrow \text{Query}_{\text{PIR}}(sk, idx)$ : The receiver runs this algorithm when he wants to obtain  $m_{idx}$ , and sends  $Q^{idx}$  to the sender.
- $rsp \leftarrow \text{Ans}_{\text{PIR}}(pk, \mathcal{M}, Q^{idx})$ : The sender runs this algorithm, and sends  $rsp$  back to the receiver.
- $res \leftarrow \text{Dec}_{\text{PIR}}(sk, rsp)$ : The receiver runs this algorithm, and obtains  $res = m_{idx}$ .

The sender should learn no information on  $idx$  from  $(pk, Q^{idx})$ .

More formally, a PIR scheme has to satisfy the following property; For any  $idx$  and  $idx'$ ,  $(pk, Q^{idx})$  and  $(pk, Q^{idx'})$  are computationally indistinguishable.

### 5.2 No-Dictionary Search-Pattern Hiding

We show our no-dictionary SSE scheme, SSE<sub>2</sub>, which can hide even the search pattern. For each  $w_j \in \mathcal{W}$ , let  $\mathcal{ID}(w_j) = \{id_1, \dots, id_{k_j}\}$ .

SSE<sub>2</sub> = (Setup<sub>2</sub>, Trpdr<sub>2</sub>, Search<sub>2</sub>, Dec<sub>2</sub>)

- Setup<sub>2</sub>:

1. Generate two PIR key pairs  $(sk_1, pk_1), (sk_2, pk_2)$ .
2. Choose a key  $K'$  of a symmetric encryption scheme (Enc, Dec) randomly.
3. For each  $D_i \in \mathcal{D}$ , compute  $C_i \leftarrow \text{Enc}_{K'}(D_i)$  and set  $C = (C_1, \dots, C_N)$ .
4. Compute  $\mathcal{ID}'(w_j) \leftarrow \text{Enc}_{K'}(id_1 \| \dots \| id_{k_j} \| 00 \dots 00)$  for all  $w_j \in \mathcal{W}$ , where 0s are padded so that  $|\mathcal{ID}'(w_1)| = |\mathcal{ID}'(w_2)| = \dots = |\mathcal{ID}'(w_{|\mathcal{W}|})|$ .
5. Choose a key  $k$  of pseudo-random function  $F$  randomly, and compute  $\text{key}_j \leftarrow F_k(w_j)$  for all  $w_j \in \mathcal{W}$ .
6. Construct cuckoo hash tables  $(T_1, T_2)$  that stores  $\langle \text{key}_j, \mathcal{ID}'(w_j) \rangle$ . Note that

$$T_1(h_1(\text{key}_j)) = \langle \text{key}_j, \mathcal{ID}'(w_j) \rangle$$

or

$$T_2(h_2(\text{key}_j)) = \langle \text{key}_j, \mathcal{ID}'(w_j) \rangle$$

holds.

7. Output  $((K', sk_1, sk_2, k), (T_1, T_2, pk_1, pk_2), C)$ .

The client sends  $(T_1, T_2, pk_1, pk_2)$  and  $C$  to the server, and keeps  $(K', sk_1, sk_2, k)$  secret.

- Trpdr<sub>2</sub>:

1. Compute  $\text{key} \leftarrow F_k(w)$ .
2. Compute  $Q_b \leftarrow \text{Query}_{\text{PIR}}(sk_b, h_b(\text{key}))$  for  $b = 1, 2$ .
3. Output  $t(w) = (Q_1, Q_2)$

The client sends  $t(w) = (Q_1, Q_2)$  to the server, where  $w$  is a search word.

- **Search<sub>2</sub>**:

1. Compute  $rsp_b \leftarrow \text{Ans}_{\text{PIR}}(pk_b, T_b, Q_b)$  for  $b = 1, 2$ .
2. Output  $(rsp_1, rsp_2)$ .

The server returns  $(rsp_1, rsp_2)$  to the client.

- **Dec<sub>2</sub>**:

1. Compute  $res_b \leftarrow \text{Dec}_{\text{PIR}}(sk_b, rsp_b)$  for  $b = 1, 2$ .
2. If  $res_1 = \langle F_k(w), \mathcal{ID}'_1 \rangle$ , then decrypt  $\mathcal{ID}'_1$  and obtain  $\mathcal{ID}(w)$ .
3. If  $res_2 = \langle F_k(w), \mathcal{ID}'_2 \rangle$ , then decrypt  $\mathcal{ID}'_2$  and obtain  $\mathcal{ID}(w)$ .
4. Otherwise output  $\mathcal{ID}(w) = \emptyset$ , which means that “ $w \notin \mathcal{W}$ .”

The client obtains  $\mathcal{ID}(w)$  even if  $w \notin \mathcal{W}$ .

If  $w = w_j$ , the trapdoor  $t(w) = (Q_1, Q_2)$  is a pair of queries to retrieve  $T_1(h_1(key_j))$  and  $T_2(h_2(key_j))$ . Therefore, either of  $res_1$  and  $res_2$  is equal to  $\langle key_j, \mathcal{ID}'(w_j) \rangle$  from the property of cuckoo hashing and PIR.

We can use arbitrary encoding methods to represent  $\mathcal{ID}(w)$ . For example,  $\mathcal{ID}(w) = \{2, 4, 5\}$  can be encrypted as  $\mathcal{ID}'(w) = \text{Enc}_{K'}(010110\dots)$ . In this case, padding is unnecessary because the length of plaintext is constant. This encoding is more efficient when hit rate is relatively large.

The following theorem shows that vSSE<sub>2</sub> does not leak the search pattern.

**Theorem 3:** Define

$$L''_1(\mathcal{D}, \mathcal{W}) = (|\mathcal{W}|, |D_1|, \dots, |D_N|, L_{max}),$$

$$L''_2(\mathcal{D}, \mathcal{W}, \mathbf{w}, w_i) = (),$$

where

$$L_{max} = \max_{w_i \in \mathcal{W}} |\mathcal{ID}(w_i)|.$$

If

- $(\text{Gen}_{\text{PIR}}, \text{Query}_{\text{PIR}}, \text{Ans}_{\text{PIR}}, \text{Dec}_{\text{PIR}})$  is a secure PIR scheme,
- $F$  is a pseudorandom function, and
- $(\text{Enc}, \text{Dec})$  is an IND-CPA secure symmetric encryption scheme,

then our scheme SSE<sub>2</sub> has  $L = (L''_1, L''_2)$ -privacy.

(Proof) We construct a simulator  $\mathbf{S}_2$  which achieves  $(L''_1, L''_2)$ -privacy as follows.

(Store phase)

On input  $L''_1(\mathcal{D}, \mathcal{W}) = (|\mathcal{W}|, |D_1|, \dots, |D_N|, L_{max})$ ,  $\mathbf{S}_2$  computes  $(T'_1, T'_2, pk'_1, pk'_2)$  and  $C'$  as follows.

1. As in Setup<sub>2</sub>, generate two PIR key pairs  $(sk'_1, pk'_1)$ ,  $(sk'_2, pk'_2)$ , and choose  $K'$ .
2. For each  $i \in \{1, \dots, N\}$ , compute  $C'_i \leftarrow \text{Enc}_{K'}(0^{|D_i|})$  and set  $C' = (C'_1, \dots, C'_N)$ .
3. Compute  $\mathcal{ID}'_j \leftarrow \text{Enc}_{K'}(0^{L_{max}})$  for all  $j \in \{1, \dots, |\mathcal{W}|\}$ .
4. Choose a random string  $key'_j$  for all  $j \in \{1, \dots, |\mathcal{W}|\}$

as the simulated value of  $F_k(w_j)$ .

5. Construct cuckoo hash tables  $(T'_1, T'_2)$  that stores  $\langle key'_j, \mathcal{ID}'_j \rangle$ .

$\mathbf{S}_2$  sends  $(T'_1, T'_2, pk'_1, pk'_2)$  and  $C'$  as the simulated values of  $(T_1, T_2, pk_1, pk_2)$  and  $C$  to the challenger.

(Search phase)

$\mathbf{S}_2$  outputs  $t'(w) = (Q'_1, Q'_2)$ , where

$$Q'_b \leftarrow \text{Query}_{\text{PIR}}(sk_b, 1).$$

We will prove that there is no adversary who can efficiently distinguish between **Game<sub>real</sub>** and **Game<sub>sim</sub>**. We consider a game sequence (**Game<sub>real</sub>**, **Game<sub>1</sub>**, **Game<sub>2</sub>**, **Game<sub>sim</sub>**).

**Game<sub>1</sub>** is the same as **Game<sub>real</sub>** except that all queries  $Q_b$  in search phases are replaced with  $Q'_b \leftarrow \text{Query}_{\text{PIR}}(sk_b, 1)$ . From the security of PIR, **Game<sub>real</sub>** and **Game<sub>1</sub>** are indistinguishable.

**Game<sub>2</sub>** is the same as **Game<sub>1</sub>** except that all values of  $F_k(w_j)$  are replaced with random strings  $key'_j$  as in **Game<sub>sim</sub>**. From the pseudorandomness of  $F$ , **Game<sub>1</sub>** and **Game<sub>2</sub>** are indistinguishable.

The difference between **Game<sub>2</sub>** and **Game<sub>sim</sub>** is that

- In **Game<sub>2</sub>**,  $C_i = \text{Enc}_{K'}(D_i)$  and  $\mathcal{ID}'(w_j) = \text{Enc}_{K'}(\mathcal{ID}(w_j))$ , where  $\mathcal{ID}(w_j)$  are padded so that  $|\mathcal{ID}(w_j)| = L_{max}$ .
- In **Game<sub>sim</sub>**,  $C'_i = \text{Enc}_{K'}(0^{|D_i|})$  and  $\mathcal{ID}''(w_j) = \text{Enc}_{K'}(0^{L_{max}})$ .

Therefore, **Game<sub>1</sub>** and **Game<sub>2</sub>** are indistinguishable from IND-CPA security of  $(\text{Enc}, \text{Dec})$ .

Consequently,  $|P_{real} - P_{mid}|$  is negligibly small.  $\square$

The above theorem shows that SSE<sub>2</sub> leaks no information in the search phase. However, if a user downloads the hit files  $C_i \in C(w)$  without using PIR, the server may learn some information about the search result. In such a case, total leakage becomes  $L''_2(\mathcal{D}, \mathcal{W}, \mathbf{w}, w) = \mathcal{ID}(w)$ .

In general, efficiency must be sacrificed to obtain search-pattern hiding with/without dictionary.

- The search process needs two round-trip communication to complete keyword search process.
- In general, PIR is built by using asymmetric technique. So, the scheme needs high computation/communication cost.

### 5.3 How to Add Reliability

By using the same idea as in Sect. 4, we can add the reliability to the above scheme. The client generates cuckoo hash tables  $(T_1, T_2)$  such that

$$T_1(h_1(key_j)) = \langle key_j, \mathcal{ID}'(w_j), F_k(1 \| h_1(key_j) \| key_j \| \mathcal{ID}'(w_j)) \rangle$$

or

$$T_2(h_2(key_j)) = \langle key_j, \mathcal{I}\mathcal{D}'(w_j), F_k(2\|h_2(key_j)\|key_j\|\mathcal{I}\mathcal{D}'(w_j)) \rangle$$

holds, where  $key_j = F_k(0\|w_j)$ . Then the client checks the validity of the answer from the server in the same way as in Sect. 4.

## 6. Conclusion

In this paper, we studied two cases in which construction of efficient no-dictionary SSE schemes is not trivial, and showed that the cuckoo hashing technique can be used to solve the problem in both cases.

First, we proposed a generic transformation from any passively secure SSE scheme to a no-dictionary verifiable SSE scheme. The efficiency of the transformed scheme is almost the same as the underlying SSE scheme.

We next presented a no-dictionary search-pattern hiding SSE scheme that has a compact encrypted index table. In addition, we showed that our no-dictionary search-pattern hiding scheme can be modified to a verifiable scheme with small cost.

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## Appendix: UC-Security for No-Dictionary vSSE

If a protocol is secure in the universally composable (UC) security framework, its security is maintained even if the protocol is combined with other protocols [9]–[11]. The UC security is defined based on *ideal functionality*  $\mathcal{F}$ . Kurosawa and Ohtaki introduced an ideal functionality of vSSE [24], [26]. Taketani and Ogata [35] generalized it in order to handle the general leakage functions  $L = (L_1, L_2)$  as shown in Fig. A. 1.

In the no-dictionary verifiable SSE setting, the real world is described as follows. We assume a real adversary,  $\mathbf{A}^{\text{uc}}$ , can control the server arbitrarily, and the client is always honest. For simplicity, we ignore session id.

In the store phase, an environment,  $\mathbf{Z}$ , chooses  $(\mathcal{D}, \mathcal{W})$  and sends them to the client. The client computes  $(K, \mathcal{I}, C) \leftarrow \text{Enc}(1^\lambda, K, \mathcal{D}, \mathcal{W}, \{(w, \mathcal{D}(w)) \mid w \in \mathcal{W}\})$ , and sends  $(\mathcal{I}, C)$  to the server. The client stores  $K^\dagger$  and the server stores  $(\mathcal{I}, C)$ . In the search phase,  $\mathbf{Z}$  chooses a word  $w \in \{0, 1\}^*$  and sends it to the client. The client computes  $t(w) \leftarrow \text{Trpdr}(K, w)$  and sends it to the server. The server, who may be controlled by real adversary  $\mathbf{A}^{\text{uc}}$ , returns  $(\tilde{C}^*, \widetilde{\text{Proof}})$  to the client. The client computes  $\tilde{\mathcal{D}}(w) \leftarrow \text{Dec}(K, t(w), \tilde{C}^*, \widetilde{\text{Proof}})$  and sends  $\tilde{\mathcal{D}}(w)$  to  $\mathbf{Z}$ . Note that  $\tilde{\mathcal{D}}(w)$  can be  $\perp$ . After repeating several searches,  $\mathbf{Z}$  outputs a bit  $b$ .

On the other hand, the ideal world is described as follows: In the store phase,  $\mathbf{Z}$  sends  $(\mathcal{D}, \mathcal{W})$  to the dummy client. The dummy client sends **(store,  $\mathcal{D}, \mathcal{W}$ )** to functionality  $\mathcal{F}_{vSSE}^L$  (see Fig. A. 1). In the search phase,  $\mathbf{Z}$  sends  $w$  to the dummy client. The dummy client sends **(search,  $w$ )** to  $\mathcal{F}_{vSSE}^L$ , and receives  $\mathcal{D}(w)$  or  $\perp$  (according to ideal adversary  $\mathbf{S}^{\text{uc}}$ 's decision), which is relayed to  $\mathbf{Z}$ . At last,  $\mathbf{Z}$  outputs a bit  $b$ .

In both worlds,  $\mathbf{Z}$  can communicate with  $\mathbf{A}^{\text{uc}}$  (in the real world) or  $\mathbf{S}^{\text{uc}}$  (in the ideal world) in an arbitrary way.

Store: Upon receiving the input **(store,  $sid, D_1, \dots, D_N, \mathcal{W}$ )** from the dummy client, verify that this is the first input from the client with **(store,  $sid$ )**. If it is, then store  $\mathcal{D} = \{D_1, \dots, D_N\}$ , and send  $L_1(\mathcal{D}, \mathcal{W})$  to  $\mathbf{S}^{\text{uc}}$ . Otherwise, ignore this input.

Search: Upon receiving **(search,  $sid, w$ )** from the client, send  $L_2(\mathcal{D}, \mathcal{W}, w)$  to  $\mathbf{S}^{\text{uc}}$ . Note that in a no-dictionary vSSE scheme, the client may send  $w \notin \mathcal{W}$ . If  $\mathbf{S}^{\text{uc}}$  returns **accept**, then send  $\mathcal{D}(w)$  to the client. If  $\mathbf{S}^{\text{uc}}$  returns **reject**, then send  $\perp$  to the client.

Fig. A. 1 Ideal functionality  $\mathcal{F}_{vSSE}^L$ .

<sup>†</sup>He may forget  $\mathcal{D}, \mathcal{W}, C, \mathcal{I}$ .

UC-security of no-dictionary vSSE scheme is defined as follows.

**Definition 4** (UC-security with leakage  $L$ ): We say that a given no-dictionary vSSE scheme has universally composable (UC) security with leakage  $L$  against non-adaptive adversaries, if for any PPT real adversary  $\mathbf{A}^{\text{uc}}$ , there exists a PPT ideal adversary (simulator)  $\mathbf{S}^{\text{uc}}$ , and for any PPT environment  $\mathbf{Z}$ ,

$$|\Pr[\mathbf{Z} \text{ outputs } 1 \text{ in the real world}] - \Pr[\mathbf{Z} \text{ outputs } 1 \text{ in the ideal world}]|$$

is negligible.

We can show the following theorem.

**Theorem 4:** If a no-dictionary vSSE scheme satisfies  $L$ -privacy and strong reliability for some  $L$ , it has UC security with leakage  $L$  against non-adaptive adversaries.

(Proof) Assume that the scheme satisfies  $L$ -privacy and strong reliability.

We consider four games **Game**<sub>0</sub>,  $\dots$ , **Game**<sub>3</sub>. Let

$$p_i = \Pr[\mathbf{Z} \text{ outputs } 1 \text{ in } \mathbf{Game}_i]$$

for a fixed  $\mathbf{A}^{\text{uc}}$ . **Game**<sub>0</sub> is equivalent to the real world in the definition of UC security. So,

$$p_0 = \Pr[\mathbf{Z} \text{ outputs } 1 \text{ in the real world}].$$

**Game**<sub>1</sub> is different from **Game**<sub>0</sub> in the following points.

- In the store phase, the client records  $(\mathcal{D}, \mathcal{W}, \mathcal{I})$  as well as the key  $K$ .
- In the search phase, if  $\mathbf{A}^{\text{uc}}$  instructs the server to return  $(\tilde{C}^*, \widetilde{\text{Proof}})$  such that  $(\tilde{C}^*, \widetilde{\text{Proof}}) \neq (C^*, \text{Proof}) \leftarrow \text{Search}(\mathcal{I}, C, t(w))$ , then the server returns **reject** to the client. Otherwise the server returns **accept**.
- If the client receives **accept** from the server, he sends  $\mathcal{D}(w)$  to  $\mathbf{Z}$ . Otherwise, he sends  $\perp$  to  $\mathbf{Z}$ .

**Game**<sub>1</sub> is the same as **Game**<sub>0</sub> until  $\mathbf{A}^{\text{uc}}$  instructs the server to return  $(\tilde{C}^*, \text{Proof})$  such that

$$\text{Dec}(K, t(w), \tilde{C}^*, \widetilde{\text{Proof}}) \neq \perp \text{ and } (\tilde{C}^*, \widetilde{\text{Proof}}) \neq (C^*, \text{Proof}).$$

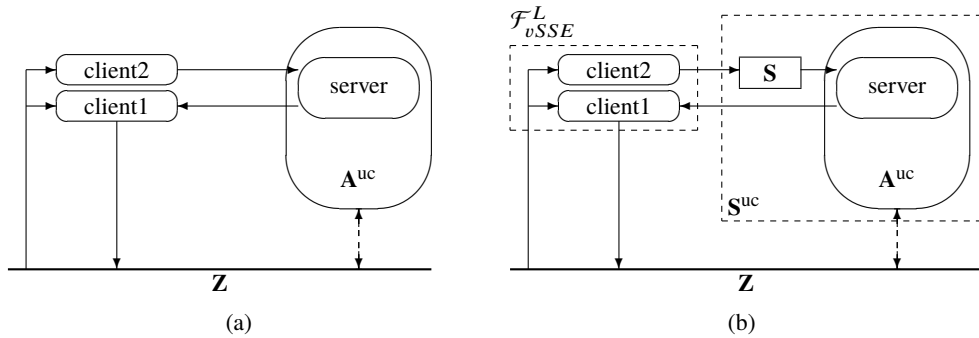
The above condition is the (strongly) winning condition of **B** in **Game**<sub>reli</sub>. So, we can obtain

$$|p_0 - p_1| \leq \max_{\mathbf{B}} \Pr[\mathbf{B} \text{ strongly wins in } \mathbf{Game}_{reli}].$$

From the assumption,  $|p_0 - p_1|$  is negligibly small.

In **Game**<sub>2</sub>, we split the client into two entities, client1 and client2, as follows: (See Fig. A. 2(a).)

- Both client1 and client2 receive all input from  $\mathbf{Z}$ .
- In the store phase, only client2 sends  $(\mathcal{I}, C)$  to the server.
- In the search phase, only client2 sends  $t(w)$  to the server. Then, only client1 receives **accept/reject** from the

Fig. A.2 (a) Game<sub>2</sub>, (b) Game<sub>3</sub>.

server, and sends  $\mathcal{D}(w)/\perp$  to  $\mathbf{Z}$ .

This change is conceptual only. Therefore  $p_2 = p_1$ .

Now, we look at  $(\mathbf{Z}, \text{client1}, \text{server}, \mathbf{A}^{\text{uc}})$  and client2 as an adversary  $\mathbf{A}$  and a challenger  $\mathbf{C}$  in the real game of privacy, respectively. Then, from the assumption, there exists a simulator  $\mathbf{S}$  such that Eq. (2) is negligible.

In **Game<sub>3</sub>**, client2 plays the role of the challenger in the simulation game of privacy; he sends  $L_1(\mathcal{D}, \mathcal{W})$  or  $L_2(\mathcal{D}, \mathcal{W}, \mathbf{w}, w)$  to the simulator  $\mathbf{S}$ , and then  $\mathbf{S}$  sends its outputs (the simulated message) to the server. (See Fig. A.2(b).) Again, we look at  $(\mathbf{Z}, \text{client1}, \text{server}, \mathbf{A}^{\text{uc}})$  as  $\mathbf{A}$ . Then **Game<sub>3</sub>** is the simulation game and **Game<sub>2</sub>** is the real game. Therefore

$$|p_3 - p_2| \leq |\Pr[\mathbf{A} \text{ outputs 1 in } \mathbf{Game}_{\text{real}}] - \Pr[\mathbf{A} \text{ outputs 1 in } \mathbf{Game}_{\text{sim}}^L]|,$$

and it is negligible from the assumption.

In **Game<sub>3</sub>**, (client1, client2) behaves exactly the same way as  $\mathcal{F}_{vSSE}^L$  in the ideal world. So, considering  $(\mathbf{S}, \text{server}, \mathbf{A}^{\text{uc}})$  as a simulator  $\mathbf{S}^{\text{uc}}$ , we obtain

$$p_3 = \Pr[\mathbf{Z} \text{ outputs 1 in the ideal world}]$$

for this simulator. Consequently, we can say that for any  $\mathbf{A}^{\text{uc}}$  there exists  $\mathbf{S}^{\text{uc}}$  such that  $|p_0 - p_3| = |\Pr[\mathbf{Z} \text{ outputs 1 in the real world}] - \Pr[\mathbf{Z} \text{ outputs 1 in the ideal world}]|$  is negligible.  $\square$

**Corollary 1:** If  $\text{SSE}_0$  has  $L = (L_1, L_2)$ -privacy and  $F$  is a pseudorandom function, the vSSE scheme vSSE<sub>1</sub> obtained from  $\text{SSE}_0$  using the transformation in Sect. 4 is UC-secure with leakage  $L' = (L'_1, L'_2)$  where  $L$  and  $L'$  are given in Theorem 1.



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## Experimental Evaluation of Hybrid PWM/DPAM Dimming Control Method for Digital Color Shift Keying Using RGB-LED Array

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### Abstract

In this work, we focus on digital color shift keying (DCSK), which is one of the modulation schemes of visible light communication (VLC). DCSK is an extended version of IEEE 802.15.7 color shift keying (CSK) that transmits data through the intensity ratios of red, green, and blue. Digitally controlled LED drivers of DCSK can also reduce the nonlinearity effect caused by the shift of the intensity amplitude. DCSK supports lighting functions such as flicker mitigation, target color control, and dimming control. For the dimming control method of DCSK, two schemes have been considered. One is pulse width modulation (PWM), which changes the duty cycle of optical transmit signals, and the other is digital pulse amplitude modulation (DPAM), which changes the number of red, green, and blue LEDs (RGB-LEDs) being used. In a previous analysis, a hybrid scheme of PWM and DPAM was proposed that could achieve both a wider dimming range than DPAM and a higher spectral efficiency than PWM. Unlike in theoretical analysis, with actual LED drivers, the dimming level (DL) obtained by PWM, DPAM, and hybrid PWM/DPAM may cause errors due to individual differences in the dimming method and LED drive current. In this work, we experimentally evaluate the accuracy of DL and the symbol error rate (SER) of DCSK with PWM, DPAM, and hybrid PWM/DPAM.

### 1. Introduction

Visible light communication (VLC) is a wireless communication system that provides both communication and lighting functions [1][2]. To apply VLC to an illumination source, a VLC modulation method must consider the effects of the modulated light signal that humans perceive as well as support flicker mitigation, dimming control, color temperature control, a high color rendering index (CRI), and so on. IEEE 802.15 Task Group 7 proposed three VLC modulation methods for flicker mitigation and dimming support: on-off keying (OOK), variable pulse position modulation (VPPM), and color shift keying (CSK) [3][4]. The CSK system is particularly promising because it can increase the data speed

by combining the outputs of red, green, and blue in RGB-LED (*i.e.*, trichromatic LED (TLED)). In CSK,  $M$  signal points are mapped inside the RGB constellation triangle on the CIE xy chromaticity diagram [5] and are presented by the intensity ratio of red, green, and blue. When the individual intensities of red, green, and blue in a TLED are  $P_R$ ,  $P_G$ , and  $P_B$ , respectively, the total intensity is constant (*i.e.*,  $P_R + P_G + P_B = \text{const.}$ ) for flicker mitigation. CSK can also utilize the original frequency response of the LED of MHz order, while the frequency response of the blue LED with phosphor is limited to just MHz order due to the phosphor [6][7]. However, CSK systems suffer from LED nonlinearity effects when adjusting to the desired optical intensity due to the change in the current driving with a digital-to-analog converter (DAC). This analog-controlled LED causes nonlinearities of the current to voltage (I-V) characteristics and intensity to current ( $\Phi$ -I) characteristics of the LED [8]. Moreover, an analog-controlled LED also causes an undesired color shift, which is the peak wavelength shift caused by changing the drive current of the LED. As a solution to these problems, Monteiro and Hranilovic proposed a linear variable current driver including predistortion to linearize the LED output intensity [9]. This variable current driver allows the desired drive current  $I$  to flow into an LED linearly due to the open collector nature of an OP AMP. However, these architectures increase the system complexity and they cannot prevent the problem of an undesired color shift. Digital CSK (DCSK) has also been considered as a means of overcoming the drawbacks of CSK [10]-[12]. In DCSK, the desired optical intensity is represented by digitally controlled LEDs in multiple RGB-LEDs (*i.e.*, an RGB-LED array). This can reduce the system complexity and minimize the effect of LED nonlinearity.

In this work, we focus on a dimming control method for DCSK with RGB-LEDs. Generally, two dimming control schemes have been considered for CSK [13]: pulse width modulation (PWM) and pulse amplitude modulation (PAM). PWM dims by changing the duty cycle of optical transmit signals, while PAM generally dims by adjusting the drive current of the LED, which increases the system complexity,

as mentioned earlier. Therefore, for DCSK, digitally controlled PAM (DPAM) is proposed, which dims by changing the number of RGB-LEDs being used in an RGB-LED array. In a previous study, a hybrid scheme of PWM and DPAM was proposed [14] that could achieve both a wider dimming range than DPAM and a higher spectral efficiency than PWM. Unlike in theoretical analysis, with actual LED drivers, the dimming level (DL) obtained by PWM, DPAM, and hybrid PWM/DPAM may cause errors due to individual differences in the dimming method and LED drive current. In this work, we experimentally evaluate the accuracy of DL and the symbol error rate (SER) of DCSK with PWM, DPAM, and hybrid PWM/DPAM.

## 2. System Setup

### 2.1 PWM dimming control method

PWM is generally used for the dimming control in VLC. This method dims the light intensity in VLC by changing the pulse width. In a DCSK system, the duty cycle of optical transmission signals is related to the brightness of the lighting. We define the number of dimming stages of PWM,  $N'_{max}$ , as

$$N'_{max} = \frac{T_s}{T_c} \quad (1)$$

where  $T_s$  is the symbol duration of the original DCSK and  $T_c$  is the chip duration for PWM dimming control. Then, the PWM DL,  $\epsilon_{PWM}$ , is represented as

$$\epsilon_{PWM} = \frac{N_{PWM}}{N'_{max}} \times 100\% \quad (2)$$

$(N_{PWM} = 1, 2, \dots, N'_{max})$

where  $N_{PWM}$  is the PWM signal duration.

DCSK with PWM can represent a wider dimming range by decreasing the chip duration,  $T_c$ ; however, the spectral efficiency of DCSK with PWM is less than that of the original DCSK.

### 2.2 DPAM dimming control method

The PAM dimming control method generally dims by adjusting the drive current of LEDs. However, analog current control causes a color shift problem, and we also have to linearize the LED nonlinearity effects.

As a possible solution to these problems, DPAM is considered [14]. DPAM represents the DLs by changing the number of active RGB-LEDs in the RGB-LED array. Therefore, the number of dimming stages is limited by the number of RGB-LEDs in the RGB-LED array. When we define the number of RGB-LEDs for the original DCSK as  $N_{min}$  and the number

of RGB-LEDs in the RGB-LED array as  $N_{RGB}$ , the number of dimming stages of DPAM can be written as

$$N_{max} = \left\lfloor \frac{N_{RGB}}{N_{min}} \right\rfloor \quad (3)$$

where  $\lfloor \cdot \rfloor$  is the floor function. Then, the DPAM DL,  $\epsilon_{DPAM}$ , is represented as

$$\epsilon_{DPAM} = \frac{N_{DPAM}}{N_{max}} \times 100\% \quad (4)$$

$(N_{DPAM} = 1, 2, \dots, N_{max})$

The spectral efficiency of DCSK with DPAM is the same as that of the original DCSK. However, the number of RGB-LEDs in the RGB-LED array is limited, so the number of dimming stages of DCSK with DPAM is less than that of DCSK with PWM.

### 2.3 Hybrid PWM/DPAM dimming control method for DCSK

A hybrid PWM/DPAM system was proposed in a previous study [14]. This system combines PWM and DPAM to enable a wider dimming range than DPAM and a higher spectral efficiency than PWM by selecting the optimum combination.

The number of dimming stages of hybrid PWM/DPAM,  $N$ , can be written as

$$N = N'_{max} \times N_{max} \quad (5)$$

Then, the DL,  $\epsilon$ , is represented as

$$\epsilon = \frac{N_{PWM}}{N'_{max}} \times \frac{N_{DPAM}}{N_{max}} \times 100\% \quad (6)$$

However, the hybrid PWM/DPAM system cannot actually represent  $N$  DLs because they include overlapped patterns such as  $(\epsilon_{DPAM}, \epsilon_{PWM}) = (100\%, 33\%) = (33\%, 100\%)$ , which theoretically result in  $\epsilon = 33\%$ .

Figure 1 shows an example of the transmit signal pattern model of a 4-ary DCSK with the hybrid PWM/DPAM dimming control method using nine RGB-LEDs. When  $N_{min} = 3$ , DPAM can represent three dimming stages. By combining PWM with three dimming stages, the hybrid PWM/DPAM can represent nine dimming stages including overlapped DLs.

### 2.4 Experimental setup

The experimental setup of DCSK is shown in figure 2. Transmission data is generated from a field-programmable gate array (FPGA : Xilinx virtex-6). We programmed a pseudorandom binary sequence (PRBS) generator, DCSK modulator, and hybrid PWM/DPAM dimming controller into the FPGA. The generated DCSK signal is applied to an LED driver, and the signal data are converted into an optical signal

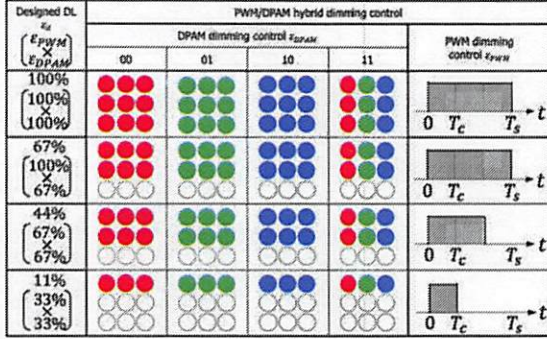


Figure 1: Transmit signal pattern model of 4-ary DCSK with hybrid PWM/DPAM dimming control using nine RGB-LEDs

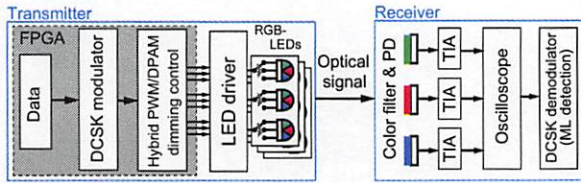


Figure 2: Experimental setup of 4-ary DCSK using nine RGB-LEDs with hybrid PWM/DPAM dimming control

by the LEDs (OptoSupply [15]: bullet-type color LEDs). At the receiver side, three p-i-n PDs (Hamamatsu S6775 [16], spectral response range: 320–1100 nm, effective photosensitive area:  $26.4 \text{ mm}^2$ ) with color band-pass filters (MidOpt BP series [17]) followed by transimpedance amplifiers (TIAs) (Analog devices AD8015, bandwidth: 240 MHz [18]) convert the received current signal into a voltage signal color by color, which is then recorded by an oscilloscope (keysight MSO6104A [19], max sample rate: 4 GSa/s) and demodulated by maximum likelihood (ML) detection using MATLAB. The DLs are measured by an illuminance spectrometer (TOPCON IM-1000 [20]).

The geometry of the DCSK system is shown in figure 3. The distance between the transmitter and receiver,  $D$ , is varied from 20 cm to 55 cm and the optical clock rate of DCSK is set to 1 MHz (2 Mbps). We considered three DLs for  $\varepsilon_{PWM}$  and  $\varepsilon_{DPAM}$ , as follows:

$$\begin{aligned} \varepsilon_{PWM} &= \frac{T_c}{T_s} \times N_{PWM} \times 100\% \\ &= \left( \frac{33}{100} \times 100\%, \frac{67}{100} \times 100\%, \frac{100}{100} \times 100\% \right) \\ \varepsilon_{DPAM} &= \frac{N_{min}}{N_{RGB}} \times N_{DPAM} \times 100\% \\ &= \left( \frac{3}{9} \times 100\%, \frac{6}{9} \times 100\%, \frac{9}{9} \times 100\% \right) \end{aligned}$$

so  $N$  is 9 ( $N = N_{PWM} \times N_{DPAM}$ ) including overlapped

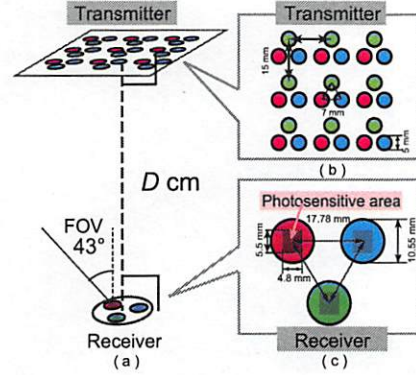


Figure 3: (a) Geometry of transmitter and receiver of the DCSK system, (b) Transmitter layout with nine RGB-LEDs, (c) Receiver layout with color filters and PDs

Table 1: Experimental conditions

FPGA evaluation kit	Xilinx ML605
LED (Red)	OptoSupply OSSRKA5111A[15]
LED (Green)	OptoSupply OSG58A5111A
LED (Blue)	OptoSupply OSB56A5111A
PD	Hamamatsu S6775[16]
Color filter (Red)	MidOpt BP635[17]
Color filter (Green)	MidOpt BP525
Color filter (Blue)	MidOpt BP470
TIA	Analog devices AD8015
Illuminance spectrometer	TOPCON IM-1000[20]
Oscilloscope	Keysight MSO6104A[19]
Irradiance of each LED ( $D = 20\text{cm}$ )	approx. $25 \text{ mW/m}^2$
Modulation scheme	4-ary DCSK
Optical clock rate	1 MHz (2 Mbps)
Distance between transmitter and receiver $D$	20 – 55 cm

DLs. The transmit signal pattern is the same as in figure 1.

The experimental conditions are listed in table 1. The irradiance of each LED is set to approximately  $25 \text{ mW/m}^2$  for flicker mitigation. The optical clock rate is set to 1 MHz (2 Mbps).

### 3. Results

The dimming accuracy of each DL is shown in table 2. The designed DL,  $\varepsilon_d$ , is written as

$$\varepsilon_d = \varepsilon_{PWM} \times \varepsilon_{DPAM} \quad (7)$$

The measured DL,  $\varepsilon_m$ , is normalized by the maximum irradiance, which is measured at  $\varepsilon_d = 100\%$ . The relative error,  $e$ , is written as

$$e = \frac{\varepsilon_m - \varepsilon_d}{\varepsilon_d} \times 100[\%] \quad (8)$$



Table 2: Dimming accuracy of each DL

Designed dimming level $\varepsilon_d$ [%] ( $\varepsilon_{PWM} \times \varepsilon_{DPAM}$ )	Measured dimming level $\varepsilon_m$ [%]	Relative error $e$ [%]
100.0 ( 100.0 × 100.0 )	100.0	-
66.7 ( 100.0 × 66.7 )	68.2	2.25
67.0 ( <b>67.0</b> × 100.0 )	66.1	<b>-1.34</b>
44.7 ( 67.0 × 66.7 )	45.1	0.89
33.3 ( 100.0 × 33.3 )	34.1	2.40
33.0 ( <b>33.0</b> × 100.0 )	33.2	<b>0.61</b>
22.2 ( 67.0 × 33.3 )	22.7	2.25
22.0 ( 33.0 × 66.7 )	22.5	2.27
11.0 ( 33.0 × 33.3 )	11.6	5.45

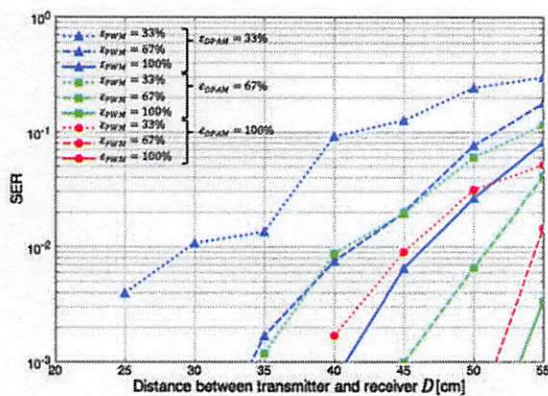


Figure 4: SER versus distance between transmitter and receiver  $D$

From table 2, PWM achieves high DL accuracies compared with those of DPAM. For example, when  $\varepsilon_d$  is about 67%, PWM can achieve a relative error of -1.34, while the relative error of DPAM is 2.25. Similarly, when  $\varepsilon_d$  is about 33%, PWM can achieve a relative error of 0.61, while the relative error of DPAM is 2.40. This is because multiple RGB-LEDs in DPAM increase the effect of individual differences in the LED drive current. Z 9110:2011 of JIS (Japanese industrial standards) defines that the minimum difference in illuminance which can be perceived is about 1.5 times [21]. The practical tolerance of the relative error is therefore about 50%.

Figure 4 shows the SER versus the distance between the transmitter and receiver,  $D$ . When  $\varepsilon_d$  is high, the SER decreases because the optical power is proportional to  $\varepsilon_m$ .

#### 4. Conclusions

We experimentally evaluated the dimming accuracy and SER of DCSK with hybrid PWM/DPAM dimming control. When there were several combinations representing the same DL, the PWM scheme had greater dimming accuracy than the

DPAM scheme due to the individual differences among RGB-LEDs. Moreover, the SER increased when the measured DL  $\varepsilon_m$  was high because the optical power of the received signal increased.

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## A Training Method for the Speech Controlled Environmental Control System Based on Candidate Word Discriminations

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### Abstract

This paper proposes a concept of a training system for the speech controlled environmental control system: Bio-Remote based on candidate word discriminations. The proposed system can provide three-types of voice signal training: (1) volume, (2) tempo/timing and (3) candidate word which are important for accurate speech recognition based on false recognition results. During the training, such three kinds of features are extracted from measured voice signals and visually and auditory fed back to the user in real time. This allows the user to train speech abilities even if false recognition results are extracted because of slurred speech. The efficacy of the proposed system was demonstrated through training experiments for slurred speech conducted with healthy participants. The results showed that the proposed system was capable for the training of speech abilities.

*Keywords:* speech training, environment control system (ECS), speech recognition, candidate word, learning-type look-up table.

### 1. Introduction

The number of disabled people in Japan continues to increase annually and stands at 1.76 million. The population of severely disabled people in particular was around 760,000, and such patients associated with a speech disability reached 81,000 [1].

Against such a background, many speech-controlled environmental control systems (ECSs) have been developed [2], [3]. However, it is difficult for patients with dysarthria to use such systems, since the models used in these systems considers standard adults' speech. Although some studies have investigated the use of speaker-dependent models to support the learning of individual users' voices [4], and the authors' research group also proposed the voice signal-based manipulation method for ECS based on candidate word discrimination [5], fluctuating speech makes discrimination difficult.

The speech training system can support the recovery of as much of a user's speech as possible, and it has been widely discussed as motivation and long-term experiences for users [6], [7]. However, it can be difficult

to fully recover verbal functioning because of individual differences and degrees of disability.

This paper proposed a training system for a speech-controlled environmental control system based on candidate word discrimination that can acquire the skill of fixed speech. After the training, the user's intention can be accurately discriminated, even if the user cannot fully recover his/her verbal functioning.

### 2. Speech Training System Based on Candidate Word Discrimination

Figure 1 shows the structure of the proposed speech training system based on candidate word discrimination. The proposed system provides training for patients with dysarthria to speak the same way every time, even with slurred speech. This training can be applied to control the training of a voice-controlled environmental control system [5] with slurred speech.

The proposed speech training system consists of a PC with a feedback display, audio processor, and microphone. During the speech training, the display

provides the extracted features of voice signals and current status of the users' abilities to improve their speech skills. The details of the system are described in the following subsections.

### 2.1. Voice signal processing

The structure of the voice signal processing is shown in Fig. 1. First, the amplitude and timing information of voice signals are extracted, and discrimination results are then obtained using the candidate words/phenomes  $W_h/M_h$  and the log-likelihoods  $T(W_h)$  with the candidate word discrimination method [5].

#### 2.1.1. Extraction of voice signal features

Voice signals are recorded using a microphone and sampled at 16 [kHz]. The amplitude information  $v(t)$  of the measured voice signals with full-wave rectification and low-pass filtering (cut-off frequency: 1 [Hz]) is obtained based on the gains of the amplifier and microphone input levels.

Feature vector  $X$  used for speech recognition is then defined as the low-frequency components of Mel-frequency cepstrum coefficients (MFCCs) for each frame, and the output probabilities  $P(X|W)$  of a feature vector  $X$  from word  $W = \{w_1, w_2, \dots, w_K\}$  ( $w_k$ : word,  $K$ : number of words) are calculated using an  $N$ -gram model and phoneme-hidden Markov model (phoneme HMM) dividing the words  $W$  into phonemes  $m = \{m_1, m_2, \dots, m_J\}$  ( $m_j$ : phoneme,  $J$ : number of phonemes) and matching phoneme HMM to  $X$ . Subsequently, the top  $H$  words  $W_h$  ( $h = 1, 2, \dots, H$ ) with the maximum log-likelihood, their phonemes  $M_h$ , and log-likelihoods  $T(W_h)$  are extracted using Julius [8].

#### 2.1.2. Intention estimation using candidate word discrimination

The user's intention is discriminated using the learning-type look-up table (LUT) [5]. The user is instructed to utter  $C$  words (corresponding to the control commands of domestic appliances) multiple times, and top  $V$  words  $W^c_v$  with their maximum log-likelihood and their phonemes  $M^c_v$  and log-likelihood  $T(W^c_v)$  in  $H$  extracted words are corresponded to each discrimination class ( $c = 1, 2, \dots, C$ ;  $v = 1, 2, \dots, V$ ;  $V < H$ ) in the learning stage. In the discrimination stage, a new set of  $H$  words are extracted, and the phenomes  ${}^{(D)}M_u$  ( $u = 1, 2, \dots, U$ ;  $U < H$ ) of top  $U$  words with their maximum log-likelihood are compared

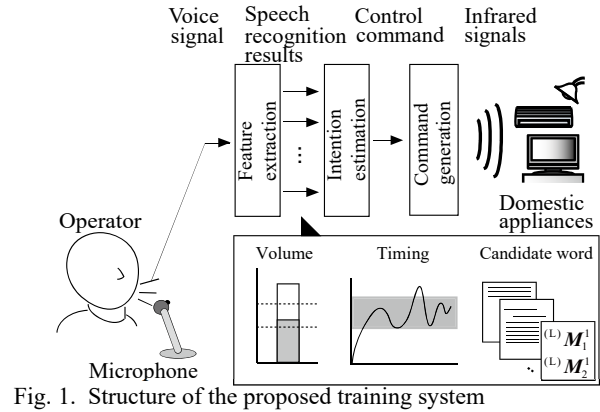


Fig. 1. Structure of the proposed training system



Fig. 2. Scenes from the training

to phoneme  ${}^{(L)}M^c_i$  ( $i = 1, 2, \dots, I_c$ ;  $I_c$ : number of learning data for class  $c$ ) of each discrimination class memorized in the learning-type LUT. The coincidence  $s^c_{u,i}$  between  ${}^{(D)}M_u$  and  ${}^{(L)}M^c_i$  is then calculated, and a class with a maximum value of  $r^c$  representing the average of all  $s^c_{u,i}$  values (the number of coincident phonemes) is then taken as the discrimination result. To disambiguate discrimination, the difference between log-likelihoods  $T({}^{(D)}W_u)$  and  $T({}^{(L)}W^c_i)$  is used to determine the result when the same values for some classes are obtained.

### 2.2. Speech training for candidate word discrimination method

For speech therapy, the treatment of articulation, prosody and pitch range, speech rate, vocal volume, or resonance is important [6]. The proposed speech training is therefore composed of three stages (see Fig. 2), as explained in the following subsections.

Before the training, to make the learning data sets used in each training, the trainee is instructed to utter  $C$  words  $T$  times, and the maximum/minimum and average volumes of each word are determined. The durations of  $C \times T$  words  $[{}^{\text{start}}D^c_t, {}^{\text{end}}D^c_t]$  used in the timing/tempo control training are also determined using Julius [8].

### 2.2.1. Volume control training

In this training, the trainee practices adjusting the vocal volume level. During the training, the amplitude information extracted from a measured voice signal is presented on the display with the desired values (predetermined maximum/minimum and average). The trainee controls his/her voice signal so the extracted amplitude information follows the average value and falls within the min./max. range. The training result is evaluated using the following equation.

$$S_t = \begin{cases} 100 - (V_{ave} - v(t)) & (V_{min} \leq v(t) \leq V_{max}) \\ 0 & (V_{min} > v(t), v(t) > V_{max}) \end{cases} \quad (1)$$

The closer the amplitude information is to the average, the higher the score, and if it exceeds the min./max. values, the score becomes zero. The average of the  $S_t$  value of speech duration is output at the end of each trial.

### 2.2.2. Tempo/timing control training

For accurate discrimination using the candidate word discrimination method, it is also important to control the timing and time from the start to the end of speech. In this training, the trainee controls the tempo/timing of his/her speech. During the training, the stored voice signals of each word are randomly shown in the display. The trainee is instructed to regulate his/her speech duration and timing according to the pre-specified timing shown in waveforms. The system evaluates the ratio of extracted speech duration to pre-specified duration in this training.

### 2.2.3. Candidate word speech training

Candidate word speech training is conducted so the trainee speaks approximately the same way every time. The candidate phonemes for each discrimination word and extracted trainee's phonemes are shown in the display during this training. The trainee practices to control his/her speech so similar candidate phonemes are extracted. The score of this training is defined as a ratio of the number of complete/ambiguous coincidence in candidate and extracted phonemes:

$$S_h = \begin{cases} (100 - S_{th})/D_{cmp} & (L^{(L)}M_i^c, M_h) = 0 \\ (100 - S_{th})/D_{amb} & (0 < L^{(L)}M_i^c, M_h \leq L_{th}) \\ 0 & (L_{th} < L^{(L)}M_i^c, M_h) \end{cases} \quad (2)$$

where  $L(\cdot)$  represents Levenshtein distance.

## 3. Training Experiments

### 3.1. Method

To verify the efficacy of the proposed training system, training experiments were performed with three healthy males (subjects A–C,  $22.3 \pm 1.15$  [year]). In the experiments, participants were instructed to speak with their tongue touching the maxillary central to simulate slurred speech. The parameters used in the experiments were set as  $C = 7$ ,  $T = 10$ ,  $H = 10$ ,  $V = 10$ ,  $U = 5$ ,  $D = 10$ ,  $L_{th} = 1$ ,  $D_{cmp} = 10$ ,  $D_{amb} = 500$ , and  $S_{th} = 50$ . The other parameters,  $K$ ,  $J$ , and  $I_c$ , were adjusted based on the input voice signal durations and learning procedure results. Ten sessions of each training stage were performed in the training experiments, and discrimination experiments were also performed before and after training to verify the effectiveness of the proposed training method. In the discrimination experiments, participants were asked to utter each word three times without feedback.

### 3.2. Results and discussion

Figure 3 shows examples of experimental results. From this figure, the training scores are stable as the number of sessions increased, and the average discrimination rates before and after training have relatively high accuracy. Although participants simulated slurred speech, they could utter each word the same way from the beginning of the training.

Therefore, other training experiments were performed so the participants could mimic other participants' speech. In the experiments performed, Sub. A trained using Subs. B and C's learning data sets. These experimental results are shown in Fig. 4, and it is confirmed that Sub. A cannot follow other participants' speech during 10 training sessions. An additional 10 training sessions were therefore performed with words, the score of which was below 40. In the latter 10 sessions, each score was gradually increased as the number of training sessions increased. The average discrimination rates before and after 20 training sessions were  $61.90 \pm 48.56$  [%] and  $80.95 \pm 39.27$  [%], respectively, and the significant difference before and after training was confirmed at a level of 1 [%]. These outcomes indicated that the proposed training system was capable of speech training.

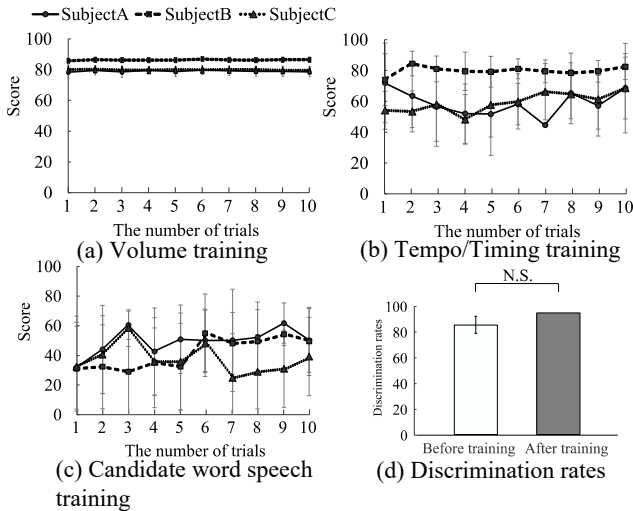


Fig. 3. Experimental results

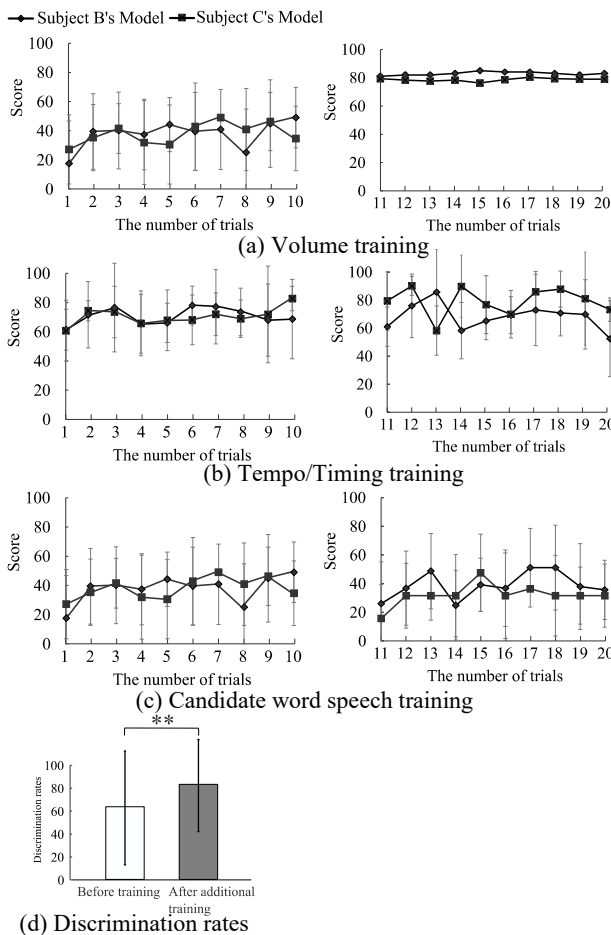


Fig. 4. Experimental results using the other subjects' learning data sets (Sub. A).

#### 4. Conclusion

This paper proposes a speech training system for the voice signal controlled ECS based on candidate word discriminations. The proposed training system provides three types of speech training that are important to speak in the same way every time. In the training experiments, it could be confirmed that the trainees' speech skills were gradually improved through training using the proposed system.

In future work, the authors plan to perform training experiments for patients with dysarthria and establish an online tuning method of training levels for each stage.

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